BOS

From:

Sent:

Thursday, January 17, 2013 8:59 AM

Ta:

CID

Cc: Subject:

ISD: BOS

CT 775.510 Aaron Swartz (J-102-775-60071-S)

U.S. SECRET SERVICE INVESTIGATIVE REPORT

TO:

BOSTON FIELD OFFICE

CRIMINAL INVESTIGATIVE DIVISION

FILE: J-102-775-60071-S

INFO:

INVESTIGATIVE SUPPORT DIVISION

X-REF: N/A SEIZURE#: N/A

SUBJECT: REPORT OF JUDICIAL ACTION

ACTUAL LOSS: TBD

POTENTIAL LOSS: \$2,000,000.00

124

CASE TITLE:

AARON SWARTZ

CASE TYPE: 775.510 SECONDARY TYPES:

848.191, 848.304, 848.930

REPORT MADE BY:

CONTROLLING OFFICE: BOSTON FIELD OFFICE

DATE CASE OPENED:

SA (b)(6),(b)(7)(C)

01/07/11

PREVIOUS REPORT:

09/21/12 - REPORT OF JUDICIAL ACTION

REPORTING PERIOD:

09/22/12 - 1/17/13

STATUS:

CONTINUED

SYNOPSIS:

On 1/11/13, Aaron Swartz was found dead in his apartment in Brooklyn, NY as a result of

(b)(6),(b)(7)(C)

A suppression hearing in this had been scheduled for 1/25/13, with a trial date of 4/01/13, in U.S. District Court for the District of Massachusetts.

Case continued.

DETAILS OF INVESTIGATION:

Reference is made to all previous reports in this case, the most recent of which is the Report Judicial Action written by SA(b)(6),(b) on 09/21/12.

On 1/12/13, several open internet sources were reporting the death of Aaron Swartz. Detective (b)(6),(b)(7)(C) Cambridge, MA PD, contacted Detective (b)(6),(b)(7)(C) New York City PD (NYPD) - Precinct 71 to confirm Swartz' death. Detective (b)(6)() Stated that at 19:35 hours on 1/11/13, it was reported to NYPD that Aaron Swartz had committed suicide. Detective (b)(6), further stated he responded to (b)((b)(6),(b)(7) (b)(6), (b)(6),(b)(7) (b) in the stated had confirmed that Aaron Swartz was found dead of an apparent suicide. The reporting party was Swartz' girlfriend, (b)(6), (b)(6),(b)(7)(C)(b)(6),(b)(7), who resided with the Swartz at the apartment. (b)(6),(b)(7)((DOB

JUDICIAL ACTION:

A suppression hearing had been scheduled for 1/25/13 in U.S. District Court for the District of Massachusetts with a trial date of 4/01/13,

SUSPECTS / DEFENDANTS:

AARON H SWARTZ - DEFENDANT (FEDERAL)

1599: Yes (Entered 1/19/2011) 1599A: Yes (Entered 11/29/2011)

EXAMS CONDUCTED:

ECSAP: 05/20/11

Poly: N/A FSD: N/A

DATABASE SEARCHES CONDUCTED:

MCI / CI: 01/07/11 NCIC/NLETS: 01/07/11 CCS/CFT: 01/07/11 LOCAL LE: 01/07/11

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

All evidence remains in the Boston Field Office Evidence Vault as previously reported.

Case continued pending disposition of evidence.

USSS / BOSTON

(b)(6),(b)(7)(C)

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JUDICIAL ACTION:

On 09/12/12, a superseding indictment was rendered in the United States District Court, District of Massachusetts, charging Aaron Swartz with violations of 18 U.S.C 1343 wire fraud; 18 U.S.C 1030 computer fraud, unlawfully obtaining information from a protected computer and recklessly damaging a protected computer; and 18 U.S.C 2

SUSPECTS / DEFENDANTS:

AARON H SWARTZ - DEFENDANT

1599:

1599A:

Yes

XAMS CONDUCTED:

ECSAP: 05/20/11

Poly: N/A FSD: N/A

DATABASE SEARCHES CONDUCTED:

MCI / CI:

01/07/11

NCIC/NLETS:

01/07/11

CCS/CFT:

01/07/11

LOCAL LE:

01/07/11

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

All remaining evidence is in the Boston Field Office Evidence Vault.

DISPOSITION;

Case continued pending further investigation and judicial action.

USSS/BOSTON

(b)(6),(b)(7)(C)

/ RICCIARDI

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BÖS

From: 808

Tuesday, May 29, 2012 2:11 PM Sent:

To: CID

Cc: BOS: ISD

Subject: CT 775.510 Aaron Swartz (J-102-775-60071-S)

U.S. SECRET SERVICE INVESTIGATIVE REPORT

FILE CUPY

PROM:

BOSTON FIELD OFFICE

FILE: J-102-775-60071-S

TO: INFO:

CRIMINAL INVESTIGATIVE DIVISION INVESTIGATIVE SUPPORT DIVISION

X-REF: N/A

SEIZURE#: N/A

SUBJECT:

REPORT OF JUDICIAL ACTION

POTENTIAL LOSS: \$2,000,500

CASE TITLE:

ACTUAL LOSS: TBD

to

5

CASE TYPE:

AARON SWARTZ

775.510

848.191, 848.304, 848.930

SECONDARY TYPES: CONTROLLING OFFICE: REPORT MADE BY:

BOSTON FIELD OFFICE SA (b) (b)(6 (b)(6),(b)

(b)(6),(b)(7)(C)

DATE CASE OPENED: PREVIOUS REPORT:

01/07/11

02/27/12 - Report of Continuing Investigation

REPORTING PERIOD:

02/28/12 - 05/29/12

STATUS:

CONTINUED

SYNOPSIS:

Judicial action continues in the case of the United States versus Aaron Swartz and the case of the Commonwealth of Massachusetts versus Aaron Swartz.

Case continued in Boston.

DETAILS OF INVESTIGATION:

Reference is made to all previous reports in this case, the most recent of which is the Report of Continuing Investigation written by SA (b)(6),(b) on

Reference is made to the relephone conference between Assistant U.S. Attorney Stephen Heymann, SA(b)(6),(b)(b)(6),(b) and Detective (b)(6),(b)(6),(b) Cambridge

Reference is made to the email from Assistant District Attorney SA (b)(6),(b)

JUDICIAL ACTION:

On 05/15/12, AUSA Heymann, Detective (b)(6), telephone conference call to discuss the progress of the case against Swartz. AUSA Heymann informed SA (b)(6),(b) and Detective (b)(6),(b) that the case was progressing and he expected judicial action at some time in the future.

SUSPECTS / DEFENDANTS:

AARON H SWARTZ - DEFENDANT

1599:

Yes

1599A:

Yes

XAMS CONDUCTED:

ECSAP: 05/20/11

Poly: N/A FSD: N/A

DATABASE SEARCHES CONDUCTED:

MCI / CI:

01/07/11

NCIC/NLETS:

01/07/11

CCS/CFT:

01/07/11

LOCAL LE:

01/07/11

Results of database searches have been reported under "Details of Investigation."

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

All remaining evidence is in the Boston Field Office Evidence Vault.

DISPOSITION:

Case continued pending further investigation and judicial action,

USSS/BOSTON

(b)(6),(b)(7)(C)

/ RICCIARDI

BOS '

From:

BOS

Sent:

Monday, February 27, 2012 6:01 PM

To: Cc:

CID ISD: BOS

Subject:

CT 775.510 Aaron Swartz (102-775-80071-S)

U.S. SECRET SERVICE INVESTIGATIVE REPORT

FROM: TO:

BOSTON FIELD OFFICE

FILE: 3402-775-60071-8

CRIMINAL INVESTIGATIVE DIVISION

X-REF: N/A

INFO:

INVESTIGATIVE SUPPORT DIVISION

SEIZURE#: N/A

SUBJECT:

REPORT OF CONTINUING INVESTIGATION

CASE TITLE:

AARON SWARTZ

POTENTIAL LOSS: \$2,000,000.00 >>

CASE TYPE:

47 فحبتها ÇQ.

SECONDARY TYPES:

775.510

848.191, 848.304, 848.930

CONTROLLING OFFICE: REPORT MADE BY:

BOSTON FIFLD OFFICE

ACTUAL LOSS: TBD

SA(b)(6),(b)(b)(6).(b)(7)(C)

DATE CASE OPENED:

01/07/11

PREVIOUS REPORT:

11/29/11 - Report of Continuing Investigation 11/30/11 - 02/27/11

REPORTING PERIOD:

STATUS:

CONTINUED

SYNOPSIS:

Swartz was arraigned in the Middlesez County, Massachusetts Superior Court on two counts of breaking and entering in the daytime; one count of larceny for more than \$250.00; and three counts of unauthorized access to a computer.

Case continued in Boston.

DETAILS OF INVESTIGATION:

Reference is made to all previous reports in this case, the most recent of which is the Report of Continuing Investigation written by SA(b)(6),(b)(1) on 11/29/11.

On 12 (20/11, SA (b)(6), (b) Detective (b)(6), (b)(7)(C) Cambridge PD, and Detective (b)(6), (b)(7)(b)(6),(b) Boston PD (both of the New England Electronic Crimes Task Force - NEECTE) delivered a hard drive containing discovery information prepared by (b)(6),(b)(7)(C)CERT to 20 Park Plaza in Boston, Massachusetts. The drive was signed for by (b)(6),(b)(7) (b)(6),(b)(7)(of Martin Weinberg P.C. The signed receipt by (b)(6),(b)(7) will remain a permanent part of this case file.

On 01/31/12 (b)(6),(b)(7)(C) __ a courier for Martin Weinberg, signed for a Toshiba Portable Hard Drive containing discovery information also prepared by (b)(6),(b)(7)(C) at the Boston

JUDICIAL ACTION:

On 11/30/11, Swartz was arraigned in Middlesex County, Massachusetts Superior Court on two counts of breaking and entering daytime, one count of larceny for more than \$250.00, and three counts of unauthorized access to a computer.

SUSPECTS / DEFENDANTS:

AARON H SWARTZ - DEFENDANT
1599: Yes
1599A: Yes

RIF

EXAMS CONDUCTED:

ECSAP: 05/20/11

Poly: N/A FSD: N/A

DATABASE SEARCHES CONDUCTED:

MCI / CI: 01/07/11 NCIC/NLETS: 01/07/11 CCS/CFT: 01/07/11 LOCAL LE: 01/07/11

Results of database searches have been reported under "Details of Investigation".

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

All evidence remains in the Boston Field Office Evidence Vault. DISPOSITION:

Case continued pending further investigation and judicial action. USSS/BOSTON

(b)(6),(b)(7)(C)

RICCIARDI

805

From: BOS

Tuesday, November 29, 2011 3:49 PM Sent:

To: CID

Cc: ISD: BOS

Subject: 775.510 Aaron Swartz J-102-775-60071-S (Continued)

U.S. SECRET SERVICE INVESTIGATIVE REPORT

FROM: TO:

INFO

BOSTON FIELD OFFICE

CRIMINAL INVESTIGATIVE DIVISION INVESTIGATIVE SUPPORT DIVISION

FILE: J-102-775-60071-S X-REF: N/A

SETZURE#: N/A

SUBJECT:

REPORT OF CONTINUING INVESTIGATION

CASE TITLE: CASE TYPE:

AARON SWARTZ

775.510

SECONDARY TYPES:

848.191, 848.304, 848.930

CONTROLLING OFFICE: BOSTON FIELD OFFICE REPORT MADE BY:

DATE CASE OPENED:

SA (b)(6),(b)((b)(6),(b) (b)(6),(b)(7)(C)01/07/11

PREVIOUS REPORT:

08/01/11 - NOTIFICATION OF FEDERAL ARREST 08/02/11 - 11/29/11

REPORTING PERIOD: STATUS:

CONTINUED

SYNOPSIS:

In addition to the federal charges pending in this case, Aaron Swartz was also indicted in Suffolk County, MA Superior Court for state charges to include breaking and entering in the daytime with intent to commit a felony, larceny over \$250 and unauthorized access to a computer network.

Case continued in Boston.

DETAILS OF INVESTIGATION:

Reference is made to all previous reports in this case, the most recent of which is the Report of Continuing Investigation written by SA (b)(6),(b)(dated

On 11/21/11, Aaron Swartz, along with attorneys Martin Weinberg and (b)(6),(b) came to the Boston Field Office to review evidence in this case as part of the discovery process. After the review, items approved for release by AUSA Stephen Heymann were turned over to attorney Weinberg.

On 11/23/11, SA(b)(6),(b) turned over the Apple iMac model A1311 with serial number WB025AXGD87 that was seized from Harvard University pursuent to a federal search warrant on 02/11/11 to Detective (b)(6), University Police Department. (b)(6), of the Harvard

JUDICIAL ACTION;

On 11/17/11, Aaron Swartz was indicted in Suffolk Superior Court for two counts of breaking and entering in the daytime with intent to commit a felony, larceny over \$250, and three counts of unauthorized access to a computer

meses the second

On 11/25/11, Assistant District Attorney (b)(6)(b)(7)(C) Attorney's Office emailed SA (b)(6),(b)(to inform him that ne expected Swartz to be

SUSPECTS / DEFENDANTS:

SWARTZ, Adron - DEFENDANT - ARRESTED (FEDERAL)

Yes

1599A:

Yes

PHOTO:

Yes

PRINTS:

Yes

(b)(6),(b)(7)(b)(6),(b)(7)(C)

SUBJECT

1599: 1599A:

Yes No

(b)(6),(b)

SUBJECT

(b)(6),(b)1599;

Yes

1599A:

No

EXAMS CONDUCTED:

ECSAP:

05/20/11

Polygraph: N/A

DATABASE SEARCHES CONDUCTED:

FSD: N/A

MCI / CI:

01/07/11

NCIC/NLETS:

01/07/11

CCS/CFT:

01/07/11

LOCAL LE:

01/07/11

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

EVIDENCE SSF 1544 S/N:

102 2011 CE 31

DATE OF INVENTORY:

02/11/11

INVENTORY MADE BY:

SA (b)(6),(b)(

DESCRIPTION OF ITEMS:

Scientific Atlantic Modem SN# SM1565968 Apple Multi Adapter SN# 6F9395M7ZU6

Apple Multi Adapter SN# 6F7281NNU4S

Black Notebook Journal

SEIZED / OBTAINED FROM:

LOCATION: DISPOSITION: Aaron Swartz

Boston Field Office Evidence Vault

Item I and 4 returned to Swartz's attorney, Martin

Weinberg, on 11/21/11.

EVIDENCE SSF 1544 S/N:

102 2011 CE 32

DATE OF INVENTORY:

02/11/11

INVENTORY MADE BY:

SA(b)(6),(b)(

DESCRIPTION OF ITEMS:

Harvard University Earning Statement

Earning Statement Addressed to Aaron Swartz

Master's Thesis M-876 Lind

Western Digital Mail-in Rebate Form

SEIZED / OBTAINED FROM:

Aaron Swartz

LOCATION:

Boston Field Office Evidence Vault

DISPOSITION:

Items 1 - 5 returned to Swartz's attorney, Martin

Weinberg, on 11/21/11.

EVIDENCE SSF 1544 S/N:

DATE OF INVENTORY: INVENTORY MADE BY: DESCRIPTION OF ITEMS:

102 2011 CE 33 02/11/11

SA (b)(6),(b) Wireless-G 2.4 GRz Broadband Router Linksys

MacBook Mac 05% Install Disc one and two MacBook User Guide in Gray Cardboard case Apple Care Service Letter Dispatch R4276408 Genius Bar Work Confirmation

SEIZED / OBTAINED FROM:

LOCATION:

DISPOSITION:

Aaron Swartz

Boston Field Office Evidence Vault

Item 1 returned to Swartz's attorney, Martin

Weinberg, on 11/21/11.

The way

NAME OF THE OWNER OWNER OF THE OWNER OWNE

EVIDENCE SSF 1544 S/N:

DATE OF INVENTORY: INVENTORY MADE BY:

DESCRIPTION OF ITEMS:

102 2011 CE 35

02/11/11 SA (b)(6),(b

Metallic Blue iPod

White iPod with carrying case

White iPod with serial number 8A6330856UX8A

White iTalk

Black 16GB Thumb-drive

SEIZED / OBTAINED FROM: Aaron Swartz

DISPOSITION:

Boston Field Office Evidence Vault

Items 1 - 5 returned to Swartz's attorney, Martin Weinberg, on 11/21/11.

EVIDENCE SSF 1544 S/N:

DATE OF INVENTORY: INVENTORY MADE BY: DESCRIPTION OF ITEMS: 102 2011 CE 36 02/11/11

SA (b)(6),(b)

Fifty-four miscellaneous compact discs

Two hard drive enclosures

T-Mobile Sidekick Sony Micro Vault

SEIZED / OBTAINED FROM: Aaron Swartz

LOCATION: DISPOSITION:

Boston Field Office Evidence Vault

39 CDs returned to Swartz's attorney, Martin

Weinberg, on 11/21/11.

EVIDENCE SSF 1544 S/N:

DATE OF INVENTORY: INVENTORY MADE BY: DESCRIPTION OF ITEMS: 102 2011 CE 37 02/11/11

SA (b)(6),(b)

DVD-R with bandwritten label

Pocket notebook

Pure Drive Quick start guide

Disk utility internal hard drive upgrade kit Seagate Barracuda Hard Drive Installation Guide

SEIZED / OBTAINED FROM: Aaron Swartz

LOCATION: DISPOSITION:

Boston Field Office Evidence Vault

Items 3 - 5 returned to Swartz's attorney, Martin

Weinberg, on 11/21/11.

EVIDENCE SSF 1544 S/N:

DATE OF INVENTORY: INVENTORY MADE BY: DESCRIPTION OF ITEMS: 102 2011 CE 38

02/11/11 SA (b)(6),(b)(7

Apple iMac Model A1311 serial number WB025AXGD87 Western Digital Hard Drive SN WMANN1006724

SEIZED / OBTAINED FROM:

LOCATION: DISPOSITION: Aaron Swartz Boston Field Office Evidence Vault

Item | turned over to Harvard Univ. PD Det. (b)(6),(b) (b)(6),(on 11/23/11.

EVIDENCE SSF 1544 S/N:

DATE OF INVENTORY; INVENTORY MADE BY: DESCRIPTION OF ITEMS;

SEIZED / OBTAINED FROM: Aaron Swartz

823

DISPOSITION:

102 2011 CE 81 02/25/11

SA (b)(6),(b)(

16 CD contained in a mailing envelope

Martin Weinberg P.C.

Returned to Swartz's attorney, Martin Weinberg, on 11/21/11.

DISPOSITION:

Case continued pending further investigation and judicial action.

USSS/BOSTON

(b)(6),(b)(7)(C)

RICCIAROI

- 1 - P

BOS

From:

BOS

Sent:

Monday, August 01, 2011 1:38 PM

To:

CID

Cc: Subject:

(SD: BOS 775.510 Notification of Federal Arrest - Aaron Swartz (J-102-775-60071-S) VILL MIL

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U.S. SECRET SERVICE INVESTIGATIVE REPORT

FROM: INFO:

BOSTON FIELD OFFICE

TO:

CRIMINAL INVESTIGATIVE DIVISION INVESTIGATIVE SUPPORT DIVISION

FILE: J-102-775-60071-S X-REF: N/A

SEIZURE#: N/A

SUBJECT: NOTIFICATION OF FEDERAL ARREST

AARON SWARTZ - Date of Arrest: 07/19/11

FILE GIPY

ACTUAL LOSS: STBD

POTENTIAL LOSS: \$2,000.000.00

FEDERAL STATUES VIOLATED - TITLE 18 UNITED STATES CODE

SECTION 1343 - WIRE FRAUD

SECTION 1030(a)(4) - COMPUTER FRAUO

SECTION 1030(a)(2) - THEFT OF INFORMATION FROM A COMPUTER SECTION 1030(a)(5)(E) - RECKLESSLY DAMANGING A COMPUTER

SECTION 2 - AIDING AND ABETTING

CASE TITLE:

AARON SWARTZ

CASE TYPE:

775.510

SECONDARY TYPES:

848.191, 848.304, 848.930

CONTROLLING OFFICE: BOSTON FIELD OFFICE

REPORT MADE BY:

SA (b)(6),(b)((b)(6),(b)(

DATE CASE OPENED:

(b)(6),(b)(7)(C)01/07/11

PREVIOUS REPORT:

REPORTING PERIOD:

06/29/11 - REPORT OF CONTINUING INVESTIGATION 06/30/11 - 08/01/11

STATUS:

CONTINUED

SYNOPSIS:

Aaron Swartz was indicted in U.S. District Court for the District of Massachusetts for violations of Title 18 United States Code, Sections 1343 - Wire Fraud; 1030(a)(4) -Computer Fraud; 1030(a)(2) - Theft of Information From a Computer; 1030(a)(5)(B) -Recklessly Damaging a Computer; and Section 2 - Aiding and Abetting.

On 07/19/11, Swartz surrendered to federal authorities and was arraigned before Magistrate Judge Judith G. Dein.

Case continued in Boston,

DETAILS OF INVESTIGATION:

Reference is made to all previous reports in this case, the most recent of which is the Report of Continuing Investigation written by SA(b)(6),(b)(on 06/29/11. JUDICIAL ACTION:

On 07/14/11, Swartz was indicted in U.S. District Court for the District of Massachusetts for violations of Title 18 United States Code, Sections 1343 - Wire Fraud; 1030(a)(4) -Computer Fraud; 1030(a)(2) - Theft of Information From a Computer; 1030(a)(5)(9) -Recklessly Damaging a Computer; and 2 - Aiding and Abetting.

. On 07/19/11, Swartz surren red to federal authorities and arraigned before . Magistrate Judge Judith G. Dein. Swartz was released on \$100,00.00 bond.

SUSPECTS / DEFENDANTS:

SWARTZ, Aaron - DEFENDANT - ARRESTED (FEDERAL) 1599:

Yes

1599A:

No

(b)(6),(b)

(b)(6),(b)(7)(C)≈ SUBJECT 1099;

Yes No

1599A: (b)(6),(b)(7)(C)

SUBJECT

1599: 1599A:

Yes No

EXAMS CONDUCTED:

ECSAP:

05/20/11

Poly:

N/A

FSD:

N/A

DATABASE SEARCHES CONDUCTED:

MCI / CI:

01/07/11

NCIC/NLETS: 01/07/11

CCS/CFT:

01/07/11

LOCAL LE:

01/07/11

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

All evidence remains secured in the Boston Field Office Evidence vault as previously DISPOSITION:

Case continued pending further investigation and judicial action.

USSS / BOSTON

(b)(6),(b)(7)(C)

RICCIARDI

WHITE STATE OF THE STATE OF THE

On 04/13/11, SA(b)(6),(b)(7) Rective (b)(6),(b) and AUSA Reyman interviewed (b)(6),(b)(7)(C) at the U.S. Attorney's office at 1 Courthouse Way, Boston, Massachusetts. Also present were (b)(6)(b)(6),(b) and (b)(6),(b)((b)(6) both attorneys with Fish and Richardson. The details of the interview are described in a Memorandum of Interview written by SA(b)(6),(b)(A copy of that MOT will be maintained in this case folder.

On 05/12/11, SA (b)(6),(b)(and Detective (b)(6),(b) interviewed (b)(6),(b)(7)(at the Free Software Foundation at 51 Franklin Street, 5^{th} Floor, Boston Massachusetts. The details of the interview are described in a Memorandum of Interview written by Detective (b)(6),(b) A copy of the MOT will be maintained in this case folder.

On 06/07/11, 4 Samsung Hard Drives were turned over to SA (b)(6),(b) at the offices of Good and Cormier located at 83 Atlantic Avenue in Boston Massachusetts.

SUSPECTS / DEFENDANTS:

SWARTZ, Aaron H. - SUSPECT

1599:

Yes

1599A: No

(b)(6),(b)((b)(6),(b)(7)(C)

1599:

No

1599A:

No

(b)(6),(b)((b)(6),(b) - SUBJECT 1599:

1599A:

No

EXAMS CONDUCTED:

ECSAP: Ongoing

Poly:

FSD:

N/A

DATABASE SEARCHES CONDUCTED:

MCI / CI: 01/07/11

NCIC/NLETS: 01/07/11

CCS/CFT: 01/07/11

LOCAL LE:

01/07/11

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

EVIDENCE SSF 1544 S/N:

DATE OF INVENTORY:

INVENTORY MADE BY:

DESCRIPTION OF ITEMS:

SEIZED / OBTAINED FROM:

LOCATION:

DISPOSITION:

102 2011 CE 81

02/25/11

SA (b)(6),(b)(

16 CD contained in a mailing envelope

Aaron Swartz

Boston Field Office Evidence Vault

Held pending judicial action.

EVIDENCE SSF 1544 S/N:

DATE OF INVENTORY:

INVENTORY MADE BY:

DESCRIPTION OF ITEMS:

SEIZED / OBTAINED FROM: Aaron Swartz

LOCATION:

DISPOSITION:

102 2011 CE 82

02/25/11

SA (b)(6),(b)(

Galaxy Metal Gear Box External Hard Drive

Boston Field Office Evidence Vault

Held pending judicial action.

EVIDENCE SSF 1544 S/N:

DATE OF INVENTORY:

INVENTORY MADE BY:

102 2011 CE 119

06/07/11

SA (b)(6),(b)(

DESCRIPTION OF ITS: 4 Samsung Har SEIZED / OBTAINED FROM: Aaron Swartz LOCATION: Boston Field DISPOSITION: Held panding

4 Samsung Hard Drives
Aaron Swartz
Boston Field Office ECSAP Vault
Held pending judicial action.

All other evidence remains in the Boston Field Office Evidence vault as previously reported.

DISPOSITION:

Case continued pending further investigation and judicial action.

USSS / BOSTON

(b)(6),(b)(7)(C)

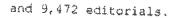
RICCIARDI

(b)(6),(b)(7)(C)	(BOS)					
From: Sent: To: Cc: Subject:	SFO Thursday, June 23, 2011 CID; BOS ISD; SFO CT 775,510 (102-775-60		IOD - AAROI	N SWARTZ (CL	OSED)	. The state of
//ROUTINE//						
U.	S. SECRET SERVICE INC	VESTIGATIVE REPO)r t			
FROM: SAN FRANCISC	O FIELD OFFICE ESTIGATION DIVISION	FILE: X-REF; SEIZURE#:	102-775-6 N/A N/A	•		
SUBJECT: REPORT OF	IOD	36		GIP7	1000 1000 1000 1000	× .
ACTUAL LOSS:	\$TBD POTENTIA	AL LOSS: \$2,000	,000.00			
CASE TITLE: CASE TYPE: SECONDARY TYPES CONTROLLING OFFICE: REPORT MADE BY: DATE CASE OPENED: PREVIOUS REPORT: REPORTING PERIOD: STATUS:	AARON SWART2 775.510	18.930			3	
SYNOPSIS:						
The Boston Field Offi (b)(6).(b) (b)(6),(b)(and (b)(knowledge of his acti	ice requested the San (6),(b)(7)(C) two known [vities.	Francisco Field associates of A	d Office (: Aaron Swart	SFO} to inte tz to determ	rview (b)(6),(
After a discussion wi of Massachusetts, and of (b)(6)(b)(7)(C)	th Assistant United state agent (b)(6)(b)(7)(C) was not necessary.	States Attorney (BOS), i	(AUSA) Sto t was deci	phen Heyman ded that the	n, District s interview	
(b)(6)(b)(7)(C) was inter	viewed regarding her	knowledge of Aa	ron Swartz	's recent ac	tivities.	
Case closed in San Fra DETAILS OF INVESTIGATI						
On 3/1/11, I participa Field Office (BOS) and AUSA Heymann requested interview (b)(6),(b)(7)(C)	ated in a conference of AUSA Stephen Heymann Lithar Linterview (b)(call with SA(b)(6). During this 6),(b)(7)(C) first, at a later dat	conversati	of the B ion, SA(b)(6), cision on wh	oston (b)(] and ether to	
On 3/9/11, I responded (A (b)(6)(b)(7)(C) (tated that she receive trange ball for him, (b)(6)(b)(7)(C) (b)(6)(b)(7)(C)	to (b)(6).(b)(7) residence At this time, SA (b)(6).(c)	(b)(6)(b)(7)(C) and I interv Swartz, who ask (7)(C) (b)(6)(b)(7)(C)	iewed (b)(6)(ed her to (7)(C)	(b)(6) (b)(7)(C) (b)(7)(G) . (b) call his law b)(6),(b)(7)(C)	with (6)(b)(7)	
)(6) (b)(7)(C) When		(b)(6)(b) t any additions	(74) (.55)	(b)(6).(b)(7)(0 with Swartz	, she	

1599A: PHOTO: PRINTS: No POB: DL/STATE: ADDRESS: (b)(6),(b)(7)(C) EMAIL: DATABASE CHECKS: 02/24/11 EXAMS CONDUCTED: None. DATABASE SEARCHES CONDUCTED: None EVIDENCE / CONTRABAND / PERSONAL PROPERTY: None DISPOSITION: Case closed in San Francisco.

(b)(6),(b)(7)(C)

USSS/SAN FRANCISCO/jd



On 02/11/11, SA (b)(6),(b) ATSAIC (b)(6),(b)(7)(C)SA (b)(6),(b)(7)(C) SA (b)(6),(b)(7)(C) and Detective (b)(6),(b)(7)(C) executed a federal search warrant on Swartz's residence located at 950 Massachusetts Avenue, Apartment 320, Cambridge, Massachusetts. Swartz was home at the time the search was executed. While the search was conducted, Swartz made statements to the effect of, what took you so long, and why didn't you do this earlier? The search team seized several items described in greater detail in the Evidence section of this report. After completing the search of Apartment 320, the search team returned to the first floor to search the storage locker allocated to apartment 320. While on the first floor, SA(b)(6), observed Swartz leave the building, walk to the street and sprint away after he reached the street. After ascertaining that none, of the items in the storage locker belonged to Swartz, the search team moved to 124 Mount Auburn Street in Cambridge, Massachusetts where the Harvard University, Edmond J Safra Center for Ethics is located and Swartz is listed as a lab fellow. The search team observed Swartz at the Safra Center for Ethics. Harvard University Police secured Swartz's office while a federal search warrant was obtained. After the search warrant was obtained, the team searched Swartz's office at the Safra Center. SA ((b)(6).(b) seized and Apple iMac computer and a Western Digital hard

SUSPECTS/DEFENDANTS:

SWARTZ, Aaron H - SUSPECT

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1599:
                                   Yes
             1599A:
                                   No
  (b)(6),(b)(7)(C)
                                SUBJECT
             AKA:
                                   (b)(6) (b)(7)(C)
             RACE:
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             DOB:
             SSN:
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                                 (b)(6),(b)(7)(C)
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(b)(6),(b)(7)(C)
                        SUBJECT
           AKA:
                                 N/A
           RACE:
                                (b)(6),(b)
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SEX: (b)(6) (b)(7)(C) DOB: SSN: (b)(b) (b) -5939 FBI: N/A SID: N/A HT: N/A WT: N/A (b)(6) (b)(7)(C) 1599: Mo 1599A: No PHOTO: No PRINTS: No POB: DL/STATE: ADDRESS: (b)(6),(b)(7)(C)EMAIL: DATABASE CHECKS: 02/24/11 EXAMS CONDUCTED: ECSAP: Ongoing Poly: N/A FSD: N/A DATABASE SEARCHES CONDUCTED: MCI / CI: 01/07/11 NCIC/NLETS: 01/07/11 CCS/CFT: 01/07/11 LOCAL LE: 01/07/11

EVIDENCE / CONTRABAND / PERSONAL PROPERTY:

EVIDENCE SSF 1544 S/N:

102 2011 CE 31

DATE OF INVENTORY: INVENTORY MADE BY:

02/11/11 SA (b)(6).(

DESCRIPTION OF ITEMS:

Scientific Atlantic Modem SN# SM1565968 Apple Multi Adapter SN# 6F9395M7ZU6 Apple Multi Adapter SN# 6F7281NNU4S

Black Notebook Journal

SEIZED / OBTAINED FROM: Aaron Swartz

LOCATION: DISPOSITION:

Boston Field Office Evidence Vault Held pending judicial action.

EVIDENCE SSF 1544 S/N;

102 2011 CE 32 02/11/11

DATE OF INVENTORY: INVENTORY MADE BY:

SA (b)(6).(

DESCRIPTION OF ITEMS:

Harvard University Earning Statement Earning Statement Addressed to Aaron Swartz

Master's Thesis M-876 Lind

Western Digital Mail-in Rebate Form

SEIZED / OBTAINED FROM:

Aaron Swartz

LOCATION: DISPOSITION:

Boston Field Office Evidence Vault Held pending judicial action.

EVIDENCE SSF 1544 S/N:

102 2011 CE 33

DATE OF INVENTORY: INVENTORY MADE BY: DESCRIPTION OF ITEMS:

02/11/11 SA (b)(6).(

Wireless-G 2.4 GHz Broadband Router Linksys MacBook Mac 05X Install Disc one and two MacBook User Guide in Gray Cardboard case Apple Care Service Letter Dispatch R&276408 Genius Bar Work Confirmation

SEIZED / OSTAINED FROM: Aaron Swartz

LOCATION: DISPOSITION:

Boston Field Office Evidence Vault Held pending judicial action.

EVIDENCE SSF 1544 S/N:

DATE OF INVENTORY: INVENTORY MADE BY:

102 2011 CE 34 02/11/11 SA (b)(6).(

DESCRIPTION OF ITEMS: Nokia Cell Phone

T-Mobile, HTC G2 cell phone 12 Magnetic Media Tapes

SEIZED / OBTAINED FROM: Aaron Swartz

LOCATION: DISPOSITION:

Boston Field Office Evidence Vault Held pending judicial action.

EVIDENCE SSF 1544 S/N:

DATE OF INVENTORY: INVENTORY MADE BY: DESCRIPTION OF ITEMS: 102 2011 CE 35

02/11/11 SA (b)(6).(

Metallic Blue iPod

White iPod with carrying case

White iPod with serial number 8A6330856UX8A

White iTalk

Black 16GB Thumb-drive

SEIZED / OBTAINED FROM: Aaron Swartz

LOCATION: DISPOSITION: Boston Field Office Evidence Vault

Held pending judicial action.

EVIDENCE SSF 1544 S/N:

DATE OF INVENTORY: INVENTORY MADE BY: DESCRIPTION OF ITEMS:

102 2011 CE 36 02/11/11

SA (b)(6).(

Fifty-four miscellaneous compact discs

Two hard drive enclosures

T-Mobile Sidekick Sony Micro Vault SEIZED / OBTAINED FROM: Aaron Swartz

LOCATION:

DISPOSITION:

Boston Field Office Evidence Vault Held pending judicial action.

EVIDENCE SSF 1544 S/N:

DATE OF INVENTORY: INVENTORY MADE BY: 102 2011 CE 37

02/11/11 SA (b)(6).(

DESCRIPTION OF ITEMS:

DVD-R with handwritten label

Pocket notebook

Pure Drive Quick start guide

Disk utility internal hard drive upgrade kit Seagate Barracuda Hard Drive Installation Guide

SEIZED / OBTAINED FROM: Aaron Swartz

LOCATION: DISPOSITION:

Boston Field Office Evidence Vault Held pending judicial action.

EVIDENCE SSF 1544 S/N:

DATE OF INVENTORY:

102 2011 CE 38

02/11/11

NEW ENGLAND ECTF CASE OPENING SHEET

4 4 3 3 M 1 A A 434 NA (Augusta	
TITLE: AARON SWARTZ	DII IP MILIS AND INC.
NOTE OF THE	FILE NUMBER: 102-775-60071
NCIC: 01/07/11 QH 01/07/11 OR 0:	1/07/11 STATE: 01/07/11 BOP: 01/07/11
(b)(6) (b)	77/11 STATE: 01/07/11 BOP: 01/07/11
SA ASSIGNED: (7)(C) DATE ASS	Ffthimm
SA ASSIGNED: (b)(6),(b) DATE ASS	IGNED: _01/04/11_
PRIMARY CASE TYPE: 775 50	_
Una	uthorized Access LOCATION: Cambridge, MA
SECONDARY CASE, DAG 101	Cambridge, MA
SECONDARY CASE: 848.191; 848.30	4; 848.930
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FRAUD LOSS: ACTUAL: STBD	POTENTIAL: \$50 000 00
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		EVIDENCE		SERIAL NI	UMBER 011 CE 000	nse
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)(6),(b)(7)(C)			DATE OF INVENTORY	STO ORATO		
		2/11/11	02/11/11	PAGE	1 OF	2 PAGE
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			TOTAL ****		·	\$860.00
STATES SECRET SERVICE	Contract of the Contract of th		11/141			

Casefile



CHAIN OF CUSTODY LOG



If this inventory consists of more than one page, document the Chain of Custody Log on the reverse of the FINAL CONTINUATION SHEET.

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	VENTORY 11/11	OFFICE BOSTON FIELD	OFFICE		CASE N			FAGES
ITEM NO.	DATE	ACTION	•	REGISTRY NUMBE			SIGNATURE	
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58F 1544 (\$1/66)

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V1 02/11/11 2 02/11/11 3 02/11/11 V4 02/11/11	1	POWER CORD, S/N # SM156596 CORNER OF LIVING RUOM APPLE MULTI ADAPTER, WEITI S/N # 6F9395M7ZUG, LOCATED LIVING ROOM APPLE MULTI ADAPTER, WHITE S/N # 6F7281MNU48, LOCATED	NT CW 2/11/11 AT TH 320 BOSTON, MA, B 2/11/11" FOR IFIES SA (b)(6) CE. BLACK, WITE 68, LOCATED IN C. IN CORNER OF C. IN BEDROOM			ыс	\$20.00 \$20.00 \$20.00 VALUE
- White			TOTAL MA		1		60.00
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FINAL JAB

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CHAIN OF CUSTODY LOG

If this inventory consists of more than one page, document the Chain of Custody Log on the reverse of the FINAL CONTINUATION SHEET.

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587 1544 (DWS)

SPECIMEN SWARTZ AARON OFFICE BOSTON FIELD OFFICE DATE OF INVENTORY 02/11/11 PAGE 1 OF 2 PAGE SIGNATURE - SPECIAL AGENT (b)(6),(b)(7)(C) SIGNATURE - WITNESS DATE I certify the evidence described in the page(s) of this may verified for final retention at Forensic Services Division this date. SIGNATURE - FORENSIC SERVICES DIVISION DATE THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A PEDERAL SHARKED -MD 2/11/11 AT 956 MASSACHUSETTS AVE, APT #320, BOSTON, MA. THIS ITEM HAS BEEN MARKED -MD 2/11/11" IDENTIFYING SA((b)(6)(b)(7)(C) OF THE BPO.	THE RESERVE OF THE PERSON OF T	INTORY O	F EVIDENCE	A-1007	SERIAL NUI	MBER 11 CE 000082	W100001110		
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DATE DATE	SWARTZ AARON				PID AMETAN				
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98F 1544 (03/88)

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CHAIN OF CUSTODY LOG



If this inventory consists of more than one page, document the Chain of Custody Log on the reverse of the FINAL CONTINUATION SHEET,

(Continu	ation Sheet)	OFFICE	102 2011 CM	R (FROM \$SF (544) 000082	PA	****	2	OF	2	PAGES
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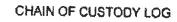
35F 1544 (03/98)

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1 02/11/11 2 02/11/11 3 02/11/11	1 1 12	TO A FEDERAL SEARCH WARRAMASSACHUSETTS AVE, APTA 3 2/11/11. THESE ITEMS ARE IDENTIFYING EN (b)(6).(b)(7) FIELD OFFICE. NORIA CELL PRONE W/POWER (COLOR, ATST BRAND, LOCATES THE RESIDENCE. T MOBILE, ETC G2 CELL PROS LOCATED IN THE BECROON OF (12) MAGNETIC MEDIA TAPES LOCATED IN THE LIVINGROOM RESIDENCE.	20, BOSTON, MA., ON MARKED "AS 2/11/11" OF THE BOSTON CORD, BLACK IN D IN THE BEDROOM OF WE W/FOWER CORD, THE RESIDENCE. IN A FEDER BOK.			NO VAL
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		7 174 10-1-1	02/25/11	PAGE	1 OF	2	PAGE		
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1 02/25/11 2 02/25/11 3 02/25/11	1.	CAMERIDGE, MA FOLICE DEPAI THESE ITEMS HAVE BEEN MARI "MF 2/25/11" IDENTIFYING I OF THE BOSTON FIELD OFFICE ACER ASPIRE CNE MCDEL 5321 SERIAL NUMBERS: LUSAKODOO10011001E16 01 ROCKETETSH ENCLOSURE KIT O WESTERN DIGITAL 2TE HARD D SERIAL NUMBERS: NMAZA1526675 HP USE DRIVE MARKED 00458M	SA(b)(6),(b)(7)(C)			\$200.0 \$85.0 \$10.0			
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FINAL JAB





If this inventory consists of more than one page, document the Chain of Custody Log on the reverse of the FINAL CONTINUATION SHEET.

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CERTIFIED INVENTORY OF EVIDENCE						SERIAL NUMBER 102 2011 CE 000033			
EVIDENCE HELD AGAINST SPECIMEN			CASE NO. 102-775-0060071-8						
SWA	RTE ARRON			OFFICE BOSTON FI	ZLO OFFI	CE;			
EV! (E	o)(6),(b)(7)(C)		- / /	DATE OF INVENTORY 02/11/11	PAGE	1 OF	. 3	PAGES	
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MOCAPINAL S	6),(b)(7)(C)		2/11/1				1	1	
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Z) 2	02/11/11 02/11/11 02/11/11 02/11/11	1	950 MASSACHUSETTS AVE, AP THESE ITEMS ARE MARKED "A IDENTIFICATION PURPOSES. SA[(b)(6),(b)(7)()] BOBTON F WIRELESS-G 2.4 GHZ BROADE BLUE AND BLACK, S/N # COM- LOCATED IN THE LINVING ROA MACBOOR MAC GEX INSTALL D: GRAY, IN PAPER SLEEVE, LOX LIVING ROOM MACBOOK USER GOIDE IN GRAI S/N # 2V99082006, LOCATED APPLE CARE SERVICE LETTER DISPATCE #R7276408 (6 PAGE			\$30.00 \$10.00 \$10.00			
5	02/11/11	1	THE LIVING ROOM GENIUS BAR WORK CONFIRMATI REPAIR # R7276313 AND R727 THE LIVING ROOM	ON (2 PAGES)			NO	VALUE	
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CERTIFIED INVENTORY OF EVIDENCE (Continuation Sheet)				SERIAL NUMBER (FROM SSF 1544) 102 2011 CE 000033	PAGE 2	OF	3	PAGE	
DATE OF INVENTORY OFFICE BOSTO		CE TON FIELD OFFICE		CASE NO. 102-775-0060	 071-8				
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				,	BROUG	SHT FORWARD			\$50.
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### CHAIN OF CUSTODY LOG



If this inventory consists of more than one page, document the Chain of Custody Log on the reverse of the FINAL CONTINUATION SHEET,

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DATE OF IN	IVENTORY 11/11	OFFICE BOSTON FIELD O	A	PAGE 3 OF 3 PAGE  CASE NO. 102-775-0060071-8				
ITEM NO.	DATE	ACTION	REGISTRY NUM	ER(S) SIGNATURE				
1-6	21/11	TO BOH VALLE	1	(b)(6),(b)(7)(C)				
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SIGNATURE - SPECIAL AGENT  DATE  (b)(6).(b)(7)(C)  SIGNATURE - WITNESS  DATE  SIGNATURE - WITNESS  DATE  I certify the evidence described in the page(s) of this inventory, not otherwise disposed of per attached documentations, was verified for final retention at Forensic Services Division this date.  SIGNATURE - FORENSIC SERVICES DIVISION  DATE  SIGNATURE - FORENSIC SERVICES DIVISION  DATE  THE BELOW LISTED ITEMS WERE SEIZED PURSUANT  TO A FEDERAL SLANCE WARRANT ON 2/11/11 AT  950 MASSACHURETTS AVE. APT #320, BOSTON, MA.  THESE ITEMS ARE NARKED "AB 2/11/11" FOR  IDENTIFICATION PURPOSES. AB IDENTIFIES  SAILDLES (A)/17/1/1 BOSTON FIELD OFFICE.  \$40.00  CASES AND MISC CD-R DISCS WITH  \$40.00	CERTIFIED INVE	NTORY O	FEVIDENCE	1000000	SERIAL NU 102 20	MBER 11 CB 0000	936	
ENDERNCE INVENTORIED BY  (b)(6),(b)(7)(C)  SIGNATURE SPECIAL AGENT  DATE  DATE  DATE    DATE   DATE   DATE   DATE	EVIDENCE HELD AGAINST	- 100	SPECIMEN	CASE NO 102-775	-	III III III III III III III III III II		
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THE BELOW LISTED ITEMS WERE SEIZED PURSUANT TO A TEDERAL SHARCE WARRANT ON 2/11/11 AT 950 MASSACHUSETTS AVE. APT #320, BOSTON, MA.  THESE ITEMS ARE MARKED "AB 2/11/11" FOR IDENTIFICATION PURPOSES. AB IDENTIFIES SA[ILIGAT/ALIVED SORTON FIEED OFFICE. FORTY MISC CD-R DISCS WITH CASES AND MISC PAPERWORK, LOCATED IN THE REUROOM, THO BARD DAIVE ENCLOSURES, LOCATED IN THE LIV ING ROOM GLOSET T MOBILE SIDENCE, LOCATED IN THE LIV INVINE ROCK CLOSET SONY MICRO VAGILT, ORANGE, LOCATED IN MAILET  \$5.00	ITEM DATE DECEMBED	***************************************	T		IC SERVICES	DIVISION	DATE	
TOTAL \$47.90	3 02/11/11	1	TO A FEDERAL SHARCE WARE 950 MASSACEUSETTS AVE. A THESE ITEMS ARE MARKED " IDENTIFICATION PURPOSES, SA [MA/GA] (MA/GA] BOSTON FORTY MISC CD-R DISCS WI CASES AND MISC PAPERWORK IN THE BEDROOM, THO BARD DRIVE ENCLOSURE ING ROOM CLOSET T MOBILE SIDERICK, LOCATE LIVING ROOM CLOSET	WHT ON 2/11/11 AT  1PT #320, BOSTON, MA.  AB 2/11/11" FOR  AB IDENTIFIES FIELD OFFICE.  TE  1, LOCATED  S, LOCATED IN THE LIV  ED IN THE			\$2.00 NO VALUE	
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FINAL JAB

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CHAIN OF CUSTODY LOG

If this inventory consists of more than one page, document the Chain of Custody Log on the reverse of the FINAL CONTINUATION SHEET.

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EVIDENCE HELD AGAI	NST	SPECIMEN	CASE NO. 102-775	-0060071-s	4 WAR SUUUS?
SWARTZ AARON	=2000		OFFICE BOSTON FI	ELD OFFICE	
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FINAL JAB

(Con	IFIED INVENTORY OF EVIDENCE nuation Sheet)		SERIAL NUMBER (FROM SSF 154 102 2011 CB 000037		2 OF	3	PAGES	
	E OF INVENTORY 02/11/11		ICE STON FIELD OF	TICE	CASE NO. 102-775-00	60071-8		
NO.	DATE RECEIVED	QUANTITY		DESCRIPTION OF EVIDENCE			VAL	.UE
				BROL	JGHT FORWARD			\$45.0
Ų J ₅	02/11/11	1	SEAGATE SATA DRIVE INSTALI BEDROOM	3.5" BARRACUDA INTERNAL HARD ATION GUIDE, LOCATED IN THE				\$5.0
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FINAL JAB

CHAIN OF CUSTODY LOG

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	11/11		BOSTON FI	ELD O	FFICE			-775-1	0060	071-5		
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EVICENCE INVENTIORIS (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) SIGNATURE - SPECIAL AGEN (b)(6),(b)(7)(C) DATE (b)(6),(b)(7)(C) SIGNATURE - SPECIAL AGEN (b)(6),(b)(7)(C) DATE (c)(6),(b)(7)(C) SIGNATURE - SPECIAL AGEN (b)(6),(b)(7)(C) DATE I certify the swidence described in the page(s) of this inventory, one demands of data described in the page(s) of this inventory, one demands of data described for final referring and a forgasis derived borson of a forgasis derived borson, and a forgasis derived borson of a forgasis derived borso	SWARTZ A	VARON				OFFI	E BOSTON PT	RIA OFFI				
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CHAIN OF CUSTODY LOG



If this inventory consists of more than one page, document the Chain of Custody Log on the reverse of the FINAL CONTINUATION SHEET,

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88F 1544 [03/86]

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MCI(311)

FINANCIAL CRIMES INVESTIGATION

CCTRL D CASE NUMBER: 102-775-0060071-S CC NR: 1

CASE. TYPE: 775.51

A CONTRACTOR OF THE STATE OF TH

SARS CASE(Y/N): N

OFF: 102 CASE TITLE: AARON SWARTZ

OTHER NON-PRIORI CPG TYPE: 848960

PRIMARY ---LOCATION-----

CCTRL HAS ADDITIONAL SECONDARY

UNITS

ST CITY 00000001 MA CAMBRIDGE

RE-OPEN

FIELD ORIG TOD ZONE TRANSF X

AGENT FOR CASE CREDIT (b)(6),(b)(7)(C)

AGENT AGENT 1823 OATE DATE
ID NR OFF OFF ASSIGNED CLOSED ID NR OFF OFF ASSIGNED CLOSED POTENTIAL LO (b)(2),(b)(7) 102 102 010411 MMDDYY 0000050000

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NUMBER OF DEVICES:

AC;

CURRENT ACTUAL LOSS

HEADQUARTERS:

OFFENDER IDENTIFIED (Y OR N);

JUDICIAL ACTION --- BLANKET SPECIF

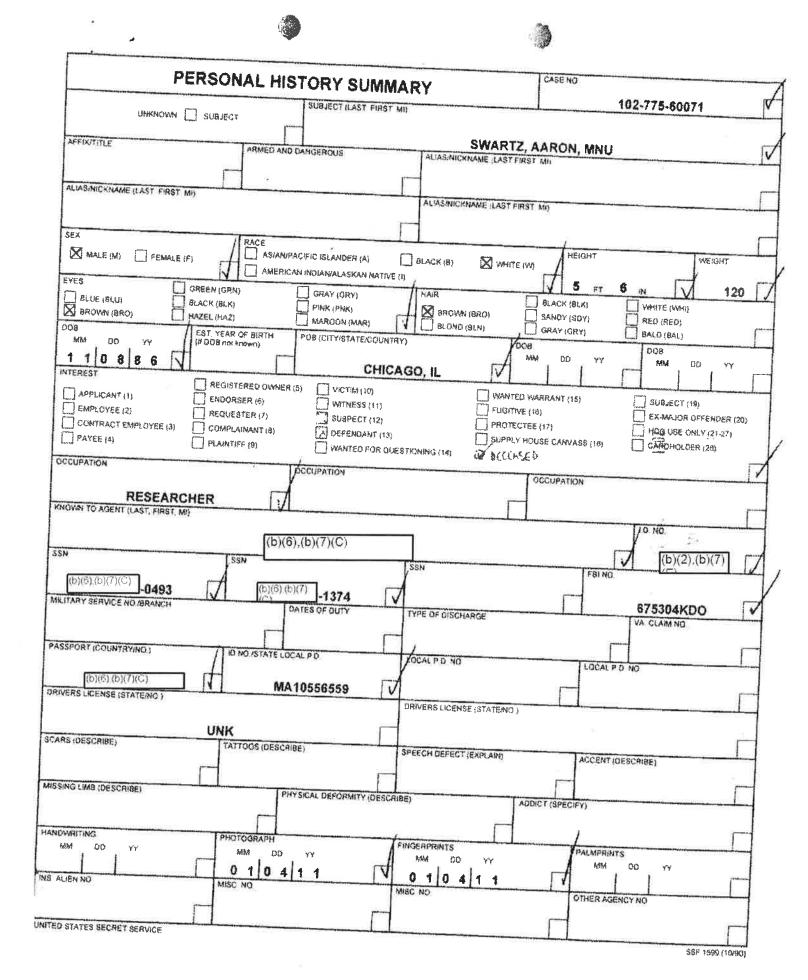
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REMARKS

FEDERAL: STATE :

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F9 F10 DATE EST 0:1911 MENU EXIT DATE UPD 011911



BANK/CREDIT NAME	ACCOUNT NO	300 ACCORDED
NAME		AODRESS
	ACCOUNT NO	ADDRESS
HOBBY/SKELS		
		ORGANIZATIONS/AFFILIATIONS/OTHER REMARKS
RESIDENCE ADDRESS		
NUMBER	07000	
	STREET	CITY STATE ZIP CODE CTR
PHONE	PHONE	STATE ZIP CODE CTR
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ARREST DATE/LOCATION/AGENCY/OFFENSE		
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	Defendant's Name (last first m)
102-775-60071	
Arrest Dele MM DD YY Office No	Offensin Cass Type Agent for Assaults A
n zla ola . To	ID No. 1822 OF
nital Absentance	775.510 (b)(6),(b)(7)(C)
Dismiss Held	Rotal District Name of Judge/Megistress (b)(2),(b)
	POOT CALL
reliminary Hearing/Arraigrament	BOSTON JUDGE JUDITH G. DEIN 0 7 1 9 1 1
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rand Jury	JUDGE JUDITH G. DEIN SARD SOONS MM DD YY
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23 1100 0111	Grend Jury Date MM DB YY
ER Court	BOSTON
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Computer: 48 Lis	8 USC 1030 (a) (4) Computer Fraud; 18 USC 1030 (a) (5) (B) Recklessly Damaging a Federal State
s (re achedised court appearances, bond, etc.)	SC 2 Alding and Abetting State State
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UNITED STATES GOVERNME

MEMORANDU

Date.

August 1, 2011

U. S. Secret Service

File: J-102-775-60071-S

REPLY TO

ATTN OF:

SAIC - Boston

SUBJECT

Photograph

TO:

SAIC - Investigative Support Division

Attached please find a photograph of daron Swarts for your files.

(b)(6),(b)(7)(C)

For Steven D Ricciardi Special Agent in Charge

United States Marshala Service - LIMITE FFICIAL USE Booking Package Photos



USMS NUMBER: 94045-038

ORI: MAUSMOIDO

Date of Arzest: 07/19/2011 PSI Number:

Last Name: SWARTZ First Name: AARON Middle Mame:

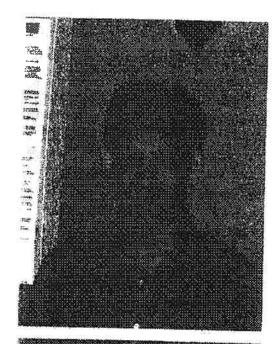
Sex: M Race Code: W DOB: 11/08/1986

Height:5'06' Weight:130 LBS

Eye Color: BRO Hair Color:

View : FRONT

Date Taken: 07/19/2011



View : RIGHT

Date Taken: 07/19/2011

"Limited Official Use" This Information is the Property of the U.S. Marshals Service and Shall Not be Publicly Released or Disseminated Without U.S. Marshals Service Authority.



Prepared on: 07/19/2011



United States Marshala Service - LIMIT **Booking Package Photos**



USMS NUMBER: 94045-038

ORI: MAUSM0100

Date of Arrest: 07/19/2011 FBI Number:

Last Name: SWARTZ First Name: AARON

Middle Name:

Sex: M

Race Code: W DOB: 11/08/1986

Reight:5'06" Weight: 130 LBS

Eye Color: Hair Color:

BRO BLK

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UNITED STATES GOVERNMENT MEMORANDUM U.S. SECRET SERVICE

Date:

August 1, 2011

File: J-102-775-60071-S

Reply To

Attn Of:

SAIC - Boston

Subject:

Fingerprints of Aaron Swartz

To:

SAIC - Forensic Services Division

Attached please find a FD-249 of Aaron Swartz for your files.

(b)(6) (b)(7)(C)

For: Steven D. Ricciardi Special Agent In Charge

SWARTZ, AARON

360040493



RIF

MA8810200

UNITED STATES SECRET SERVICE MAUSMOSDE

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20110719

20110719

US

(b)(6)(b)(7)(C)

20110719 2608-Praud By Wire

20110719 2609-Fraud And Abuse - Computer

Medical Concerns

R-84	(Rev	08-31-	1999	
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FINAL DISPOSITION REPORT



Leave Blank

Note: This vital report must be prepared on each individual whose arrest fingerprints have been forwarded to the FBI
Crimenal Justice Information Services Division without final disposition noted thereon. If no final disposition is available to
arresting agency, complete left side and forward the form when case referred to prosecutor and/or courts. Agency on itulize as to final disposition should complete this form and submit to FBI, CJIS Division, Clarksburg, WV 25306.

(See instructions on reverse	side)	
- E	bmitted to F81 First Middle Naron	Final Disposition & Date (If convicted or subject pleaded guilty to lesser charge, include this modification with disposition.)
Date of Birth 11/08/86 Henry Fingerprint	Sex M	-
Classification From FBI 1-8 Response State Bureau No. (SID) MA10556559	Social Security No. (SOC) (b)(6) 0493	This Form Submitted By: (Name, Title, Agency, OR! No., City & State)
Contributor of Fingerprints (Inc agency together with ORI num U.S. Secret Service 10 Causeway St., Suite Boston, MA 02222 MASS 10200	•	
		Signature Date
rrest No. (OCA)	Date Arrested or Received	Title COURT ORDERED EXPUNGEMENT:
iffenses Charned at Arrect	7/19/11	Certified or Authenticated Copy of Court Order Attached.

1343 - Wire Fraud

1030 (a) (4) - Computer Fraud 1030 (a) (2) - Theft of information from a computer

1030 (a) (5) (B) - Recklessly damaging a computer

Section 2- Aiding and Abetting





U. S. Secret Service NCIC/NLETS Response Display

ORI: MASS10200

Response:

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FROM(NLETS) ON 08/01/11 AT 12:38:49 MASS10200
     CR.WVFBINEOD
     09:38 08/01/2011 44413
     09:38 08/01/2011 47045 MASS10200
     TXT
     HDR/2L01-NCIYMASS102000
    ATN (b)(6) (b)(7)(C)
     *** -----
                                                       CRIMINAL HISTORY RECORD *****************
    Data As Of
                                                         2011-08-01
    ARREST STATE OF STATE ST
    This rap sheet was produced in response to the following request:
    FBI Number
                                                        675304KD0
    Request Id
    Purpose Code
    Attention
                                                    (b)(6) (b)(7)(C)
   The information in this rap sheet is subject to the following caveats:
   This record is based only on the FBI number in your request-675304KDO.
   Because additions or deletions may be made at any time, a new copy
   should be requested when needed for subsequent use. (US: 2011-08-01;
   All arrest entries contained in this FBT record are based on
   Eingerprint comparisons and pertain to the same individual. (US;
   2011-08-01)
   The use of this record is regulated by law. It is provided for official
   use only and may be used only for the purpose requested. (US;
   2011-08-011
   Subject Name(s)
  SWARTS, AARON H
  SWARTZ, AARON (AKA)
  Subject Description
  FBI Number
                                                      State Id Number
  675304KD0%
                                                     MA10556559 (MA)
  Social Security Number
 (h)(h) (h) () 493
  Sex
                                                     Bace
 Male
                                                    White
 Height
                                                    Weight
                                                                                                      Date of Birth
 5'06"
                                                    120
                                                                                                       1986-11-08
 Hair Color
                                                    Eye Color
                                                                                                       Fingerprint Pattern :-
 Brown
                                                    Brown
                                                                                                        OC DE DE DE DE DE DE DE DE DE
 ther)
Place of Birth
                                                    Citizenship
Fingerprint Images
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Photo Image Available
                                                               FBI-CGIS DIV-CLRKSBG CLARKSBURG WVFBINFOO
Available Image
                                                                Other
(No Photo Image Transmitted
Comment: FBI has two photos associated with
                                                   arrest date of 2011/07/19)
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Photo Image Available US SECRET SERVICE BOSTON MASS10200 Available Image Other (No Photo Image Transmitted Comment: Arresting agency has photo associated with arrest date of 2011/07/19) Earliest Event Date 2011-07-19 -------Arrest Date 2011-07-19 Arrest Case Number Arresting Agency MASS10200 US SECRET SERVICE BOSTON SWARTZ, AARON Charge 01 Charge Literal 2608-FRAUD BY WIRE Agency MASS10200 US SECRET SERVICE BOSTON Severity Charge Charge Literal 2609-FRAUD AND ABUSE - COMPUTER Agency MASS10200 US SECRET SERVICE BOSTON Severity Agency FBI-CJIS DIV-CLRKSBG CLARKSBURG; WVFBINF00; Address 1000 CUSTER HOLLOW RD CLARKSBURG, WV 26306 Agency US SECRET SERVICE BOSTON; MASS10200; Address STE 791 10 CAUSEWAY ST BOSTON, MA 022221080 * * END OF RECORD * * *

<< Previous Next >> Cancel







U. S. Secret Service NCIC/NLETS Response Display

ORI: MASS10200

FROM(NLETS) ON 08/01/11 AT 12:38:50 Response: MASS10200 CR.MAIII0000 09:38 08/01/2011 03098 09:38 08/01/2011 47046 MASS10200 HDR/2L01-NCIYMASS102000 ATN: (b)(6) (b)(7)(C) THIS RECORD IS BASED ONLY ON THE SID NUMBER IN YOUR REQUEST-SID/MA10556559 FBT/675304KDO PUR/C NAME: SWARTZ, AARON H **ADDRESS** (b)(6) (b)(7)(C) DOB SSN RACE SEX HEIGHT WEIGHT HAIR EYE COLOR BIRTH PLACE 19861108 $|\phi\rangle$ M 506 120 BRO BRO IL MISCELLANEOUS NUMBER CITIZENSHIP NON-FP-CLASS EMPLOYER 124 MOUNT AUBURN ST #52 CAMBRIDGE, MA OCCUPATION RESEARCH AT HAR EVENT DATA ARREST NAME SWARTZ, AARON H AGENCY CAMBRIDGE PD LS 1 ARREST DATE CASE NUMBER OBIN OFFENSE DATE 20110106 TCAM201100032 OFFENSES 20110106 BSE DAYTIME FOR FELONY 0266 S18 OFFENSES 20110106 BSE DAYTIME FOR FELONY c266 S18 DISPOSITIONS END OF RECORD

<< Previous

Next >>

Cancel





U. S. Secret Service NCIC/NLETS Response Display

ORI: MASS10200-0

Response: | FROM(NCIC)

ON 08/01/11 AT 12:38:51

MASS10200-0

FL01-NCIYMASS102000

MASS10200

THIS INTERSTATE IDENTIFICATION INDEX RESPONSE IS THE RESULT OF YOUR RECORD REQUEST FOR FBI/675304KDO. INDIVIDUAL'S RECORD WILL BE

COMPLETE WHEN ALL RESPONSES ARE RECEIVED FROM THE FOLLOWING SOURCES:

- FBI/675304KD0

MASSACHUSETTS - STATE ID/MA10556559

END

Next >>

Cancel



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CUIS 988081
              02/16/2012 1012
                               S0751/6950.
 2L01III QUERY
 MASS102S0
                                                  -102-775-60071-5
 THIS INTERSTATE IDENTIFICATION INDEX RESPONSE IS THE RESULT OF YOUR
 RECORD REQUEST FOR FBI/675304KD0. INDIVIDUAL'S RECORD WILL BE
 COMPLETE WHEN ALL RESPONSES ARE RECEIVED FROM THE FOLLOWING SOURCES:
                - FBI/675304KD0
  MASSACHUSETTS - STATE ID/MA10556559
 END, >-<
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 UL.
 CR.WVFBINFOO
 08:14 02/16/2012 26734
 08:14 02/16/2012 10301 MASS102S0
 TXT
 HDR/2L01
                       CRIMINAL HISTORY RECORD
Data As Of
                       2012-02-16
 This rap sheet was produced in response to the following request: \Box
FBI Number
                       675304KD0
Request Id
Purpose Code
Attention
                      (b)(6) (b)(7)(C)
The information in this rap sheet is subject to the following caveats:
This record is based only on the FBI number in your request-675304KD0.
Because additions or deletions may be made at any time, a new copy
should be requested when needed for subsequent use. (US; 2012-02-16)
All arrest entries contained in this FBI record are based on
fingerprint comparisons and pertain to the same individual. (US;
2012-02-16)
The use of this record is regulated by law. It is provided for official
use only and may be used only for the purpose requested. (US;
2012-02-161
Subject Name(s)
SWARTZ, AARON H
SWARTZ, AARON (AKA)
Subject Description
FBI Number
                      State Id Number
575304KD0
                      MA10556559 (MA)
Social Security Number
(b)(6)(b) 0493
3ex
                      Race
fale
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                      Weight
                                             Date of Birth
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                                             1986-11-08
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Fingerprint Images

Photo Images

Photo Image Available

FBI-CJIS DIV-CLRKSBG CLARKSBURG WVFBINF00

Available Im

age

Other

(No Photo Image Transmitted

Comment: FBI has two photos associated with

arrest date of 2011/07/19)

Photo Image Available

US SECRET SERVICE BOSTON MASS10200

Available Image

Other

(No Photo Image Transmitted

Comment: Arresting agency has photo associated

with arrest date of 2011/07/19)

Earliest Event Date

2011-07-19

Arrest Date

2011-07-19

Arrest Case Number

Arresting Agency

MASS10200 US SECRET SERVICE BOSTON

Subject's Name

SWARTZ, AARON

Charge

01

Charge Literal 2608-FRAUD BY WIRE

Agency MASS10200 US SECRET SERVICE BOSTON

Severity

Charge

02

Charge Literal 2609-FRAUD AND ABUSE - COMPUTER

雪。

Agency MASS10200 US SECRET SERVICE BOSTON

Severity

Agency

FBI-CJIS DIV-CLRKSBG CLARKSBURG; WVFBINF00;

Address

1000 CUSTER HOLLOW RD CLARKSBURG, WV 26306

Agency

US SECRET SERVICE BOSTON; MASS10200;

Address

STE 791 10 CAUSEWAY ST BOSTON, MA 022221080

* * * END OF RECORD * * *

ANT TO LEGICAL TOTAL ウエココリ/ 6350.

****** WARNING ****** WARNING ******

THIS INFORMATION IS CORI. IT IS NOT SUPPORTED BY FINGERPRINTS. *PLEASE CHECK THAT THE NAME REFERENCED BELOW MATCHES THE NAME AND DATE OF BIRTH* *OF THE PERSON REQUESTED.

COMMONWEALTH OF MASSACHUSETTS DEPARTMENT OF CRIMINAL JUSTICE INFORMATION SERVICES

*** PERSONS COURT SUMMARY ***

NAM: SWARTZ, AARON H FORMAL-NAM: AARON DOB: 11/08/86 SEX: M RAC: W PCF: 00005176807

POB: IL SSN: (b)(6)(b)(0493 MOM: POP: HGT: 506 WGT: 130 HAI: BLK EYE: BRO

ADDRESS: 76 OXFORD ST CAMBRIDGE, MA

**** **** **** **** ADULT APPEARANCES **** ****

ARRAIGNMENT: (001) ARG-DATE: 11/30/11 PD: CAM COURT: MIDDLESEX SUPERIOR DKT# 20111133001

OFF: BREAKING AND ENTERING DT BLDG

B&E DT DISP: C 1/3/12 STATUS: O WPD:

ARRAIGNMENT: (002)

ARG-DATE: 11/30/11 PD: CAM COURT: MIDDLESEX SUPERIOR DKT#: 20111133002

OFF: BREAKING AND ENTERING DT BLDG

DISP: C 1/3/12 B&E DT

STATUS: O WPD:

ARRAIGNMENT: (003)

ARG-DATE: 11/30/11 PD: MID COURT: MIDDLESEX SUPERIOR DKT#: OFF: LARCENY MORE 20111133003

0/\$250 LAR MORE DISP: C 1/3/12

STATUS: O WPD:

RRAIGNMENT: (004)

ARG-DATE: 11/30/11 PD: CAM COURT: MIDDLESEX SUPERIOR DKT#: 20111133004

OFF: CRIME OF (SPECIFY IN FULL) UNAUTH ACCESS/COMPTRCRIME OF

DISP: C 1/3/12 STATUS: 0 WPD: ARRAIGNMENT: (005)

-ARG-DATE: 11/30/11 PD: CAM COURT: MIDDLESEX SUPERIOR DKT#: OFF: CRIME OF (SPECIFY IN FULL) UNAUTH ACCESS/COMPTRCRIME OF

20111133005

DISP: C 1/3/12

STATUS: O WPD:

ARRAIGNMENT: (006)

ARG-DATE: 11/30/11 PD: CAM COURT: MIDDLESEX SUPERIOR DKT#:

20111133006

OFF: CRIME OF (SPECIFY IN FULL) UNAUTH ACCESS/COMPTRCRIME OF

STATUS: 0 WPD:

ARRAIGNMENT: (007)

ARG-DATE: 07/19/11 PD: FED COURT: BOSTON FEDERAL

DKT#:

111026001

OFF: FRAUD (SPECIFY) DISP: CNT1 C

WIRE

FRD

STATUS: O WPD:

ARRAIGNMENT: (008)

ARG-DATE: 07/19/11 PD: FED COURT: BOSTON FEDERAL

DKT#: 111026001A

OFF: FRAUD (SPECIFY)

COMPUTER

FRD

DISP: CNT2 C

STATUS: O WPD:

ARRAIGNMENT: (009)

ARG-DATE: 07/19/11 PD: FED COURT: BOSTON FEDERAL

DKT#:

111026001B

OFF: CRIME OF (SPECIFY IN FULL) UNL OBT INFO FR COMPCRIME OF

DISP: CNT3 C

STATUS: O WPD:

ARRAIGNMENT: (010)

ARG-DATE: 07/19/11 PD: FED COURT: BOSTON FEDERAL

DKT#:

111026001C

OFF: CRIME OF (SPECIFY IN FULL) RECKLESSLY DAM COMP CRIME OF

STATUS: O WPD:

RRAIGNMENT: (011)

ARG-DATE: 01/07/11 PD: MIP COURT: CAMBRIDGE DISTRICT

DKT#: 1152CR000073A

OFF: B&E DT W/I COM FEL DISP: C 12/16/11 NP

B&E DT W/I FEL

STATUS: C WPD:

RRAIGNMENT: (012)

ARG-DATE: 01/07/11 PD: MIP COURT: CAMBRIDGE DISTRICT

DKT#: 1152CR000075A

B&E DT W/I FEL STATUS: C WPD:

****	****	****	****	END	OF	ADULT	APPEARANCES	****	****	****	****
										~ ~ ~ ~ ~	**************************************

REQUESTED BY: (b)(6)(b)(7)(C)

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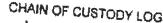
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CERTIFIED INVENTORY OF EVIDENCE (Continuation Sheet) SERIAL NUMBER (FROM SSF 1544) 102 2011 CE 000032 DATE OF INVENTORY PAGE 2 02/11/11 OF PAGES BOSTON FIELD OFFICE CASE NO. ITEM DATE RECEIVED 102-775-0060071-8 NO. QUANTITY DESCRIPTION OF EVIDENCE VALUE BROUGHT FORWARD NO VALUE 02/11/11 MESTERN DIGITAL MAIL-IN REBATE FORM, LOCATED IN THE BEDROOM OF THE RESIDENCE.

ONE SHEET OF 8 1/2 X 11 NOTEBOOK PAPER WITE BLACK INNED LETTER TO ARROW, SIGNED (b)(6)(b)(7)(DATED OCTOBER 27, 2009, LOCATED IN THE BEDROOM OF THE RESIDENCE. 02/11/11 NO VALUE NO VALUE UNITED STATES SECRET SERVICE TOTAL This form was electronically produced via Omniform by USSSIADMINIMINO/PARS NO VALUE FINAL JAB SSF 15444 (03/86)



If this inventory consists of more than one page, document the Chain of Gustody Log on the reverse of the FINAL CONTINUATION SHEET

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CERTIFIED INVENTORY OF EVIDENCE (Continuation Sheet) SERIAL NUMBER (FROM SSF 1544) 102 2011 CR 000032 DATE OF INVENTORY OFFICE PAGE OF. 02/11/11 PAGES BOSTON PIELD OFFICE CASE NO. ITEM DATE RECEIVED 102-775-0060071-8 NO. QUANTITY DESCRIPTION OF EVIDENCE VALUE BROUGHT FORWARD NO VALUE 02/11/11 WESTERN DIGITAL MAIL-IN REBATE FORM, LOCATED 1 IN THE BEDROOM OF THE RESIDENCE. 02/11/11 ONE SEERT OF 8 1/2 X 11 NOTEBOOK PAPER WITE NO VALUE BLACK INKED LETTER TO AARON, SIGNED (D)(6)(6)(7)(DATED OCTOBER 27, 2009, LOCATED IN THE BEDROOM OF THE RESIDENCE. NO VALUE UNITED STATES SECRET SERVICE TOTAL The form was electronically produced via OrienForm by USSS/ADMIN/MNO/PARS NO VALUE FINAL JAB SSF 1544A (03/88)

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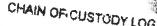


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	ARTE AARON			OFFICE	75-006007	1~9	
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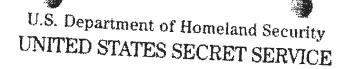
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Boston Field Office 10 Causeway St., Suite 447 Boston, MA 02222-1080 Telephone. (617) 565-5640

File #: 102-775-60071-S

#### RECEIPT

On November 21, 2011 SA (b)(6) (b)(7)(C)

Boston Field Office returned the below listed items to Martin Weinburg, Attorney for suspect Aaron Swartz.

	C. L. CHAGIN	
ITEM#;	SSF 1544 Evidence S/N:	Description
1 1	102 2011 CE 31	Scientific Atlantic Modern with
4		Power Cord
1-5	¥1	Black Notebook Journal
1-9 /	102 2011 CE 32	Statements, Mail in Rebate, Notebook Paper with black writing, and Master's Thesis M-876 Lind
1-5	102 2011 CE 33	Wireless Broadband Router Linksys
	102 2011 CE 35	Ipods, Wireless ITalk, and Thumbdrive
1 <b>J</b>	102 2011 CE 36	(39) Misc CD's
3-5 \	102 2011 CE 37	Pure Drive Harddrive , Disc Utility
1 )	102 2011 CE 81	Seagate Sata Internal Harddrive (16) Department of Labor CD's

	(b)(6) (b)(7)(C)	
SIGNATUR	E:	
DATE:	11/21/11	

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## U.S. Department of Homeland Security UNITED STATES SECRET SERVICE

Boston Field Office 10 Causeway St., Suite 447 Boston, MA 02222-1080 Telephone: (617) 565-5640

File: 102-775-80071-S

#### RECEIPT

I acknowledge receipt of the following items from the U.S. Secret Service, Boston, MA:

One (1) Western Digital My Passport hard drive, serial #WXG1A8146179

	(b)(6) (b)(7)(C)	
SIGNATURE:		
Print Name:	(b)(6) (b)(7)(C)	
DATE:	12120141	
RECEIVED FROM:_	(b)(6) (b)(7)(C)	
	<b>SA</b> (b)(6) (b)(7)(C)	Boston Field Office

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## U.S. Department of Homeland Security UNITED STATES SECRET SERVICE

Boston Field Office 10 Causeway St., Suite 447 Boston, MA 02222-1080 Telephone. (617) 565-5640

File #: 102-775-60071-S

#### RECEIPT

Lacknowledge receipt of the following items from the U.S. Secret Service, Boston, MA:

One Toshiba Portable Hard Drive, Serial Number Y112P078TQQ6

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PRINT NAME: [DIG	(b)(7)(C)	m.
DATE: O/	15/1/2	
RECEIVED FROM:	(b)(6) (b)(7)(C)	
	SA(b)(6) (b)(7)(C)	Boston Field Office

(b)(6) (b)(7)(C)	(BOS)	
From: Sent: To: Subject:	(b)(6) (b)(7)(C) (BOS) vvednesday. November 23, 2011 (b)(6) (b)(7)(C) (BOS) Swartz 102-775-60071 1544 102	1:12 PM 2011 CE 36

Item #1 the Apple iMac Model A1311 with serial number WB025AXGD87 from 1544 inventory 102 2011 CE 38 in case 102-775-60071 was turned over to Detective (b)(6)(b)(7)(C) of the Harvard University Police Department today

(b)(6) (b)(7)(C)

U.S. Secret Service

Boston Field Office
(b)(6) (b)(7)(C)

### UNITED STATES GOVERNMENT

### memorandum

DATE: November 21, 2011

U. S. Secret Service

REPLY TO

ATTN OF: ISA (b)(6)(b)(7)(C

File: 102-775-60071-S

SUBJECT:

Disposition of Evidence - Aaron Swartz

TO:

ATSAIC (b)(6) (b)(7)(C)

Info:

FSD

Approval is requested for the disposition of evidence inventoried under the above file number as indicated below:

SSF 1544	DATE	ITEM	DESCRIPTION	EXCEPTION
1022011CE32 1022011CE35 1022011CE81	02/11/11 02/11/11 02/11/11	1-5 1-5	Misc Statements  Ipods, Elect Items (16) CD's	A A A

#### // EXCEPTION X:

Local destruction is authorized for inventoried items noted above not to include those items followed by an exception, explained below. A statement of certification of Destruction should be attached on the reverse of SSF 1544, parts II and III. Part II should be forwarded to FSD.

### // EXCEPTION A:

You are authorized to return these items to the victim bank or merchant, etc. The recipient shall acknowledge receipt by signing a statement of receipt and/or the U.S. Postal Service registered mail receipt will be attached to part II of the appropriate SSF 1544 and forwarded to FSD.

#### // EXCEPTION B:

You are authorized to retain this non-contraband item in the closed file for possible future investigative or forensic purposes.

#### // EXCEPTION C:

This item has been ordered by the court or lawful owner to be donated to a tax exempt organization. Obtain signed statement of receipt, attach to part II of appropriate SSF 1544, and forward to FSD. Forward copy of receipt to Financial Crimes Division for inclusion in the HQ case file.

## // EXCEPTION D: (Property valued less then \$500)

This item has been declared abandoned, donated by lawful owner to the USSS, or has been ordered by the court to be used by the USSS. Authorization is hereby granted to retain this item for field office use. The automated Property Management System (PAMS) will be used to track accountable property placed into official use by this Service. memorandum to the Property Management Branch thru the You must submit a Administrative Operations Division, requesting a property number for property meeting the minimum dollar cost criteria (see Administrative Manual Volume I, Chapter 2, Sections 2.3.2 - 2.3.3). A copy of this memorandum and a copy of the SSF 1544 identifying the make, model, item description, serial number, and estimated cost must be attached to the memorandum submitted to the Property Management Branch. A copy of the package submitted to the Property Management Branch must be returned to the Financial Crimes Division for inclusion in the

## // EXCEPTION E: (Property valued \$500 - \$1,000):

This item has been declared abandoned, donated by the lawful owner or forfeited to the service by the court. Having received no request from your office to place the property into official use, AOD concurs with this Division's authorization for local disposition. A statement of certification of destruction should be attached on the reverse to FSD. However, if the property is required for official use by your office, follow the procedures as outlined in Exception D, above.

## // EXCEPTION F: (Property valued in excess of \$1,000):

This item has been declared abandoned, donated by the lawful owner or forfeited to the service by the court. Having received no request form your office to place the property into official use, SSF 1905, Report of Seized Property for Disposal, has been prepared by this division and forwarded to AOD. You will receive further instructions from AOD or GSA regarding disposition of this (these) item(s). However, if the property is required for office use by your office, follow the procedures as outlined in Exception D, above.

// EXCEPTION G: (Other)

(b)(6) (b)(7)(C)		

Investigative Support Assistant

#### APPROVED:

(b)(ē) (b)(7)(C)

ATSAIC

Boston F.O. - 1CC

FSD - 1CC

CID - 1CC

AO 442 (64) 01/09) Mirest Warrant		2128886
Dis	ATES DISTRICT CO for the trict of Massachusetts	URT
Aaron Swartz  Defendant  ARR	Case No. //-C	CF-10260
To: Any authorized law enforcement officer	DOI WARRANT	**
YOU ARE COMMANDED to arrest and bring (name of person to be arrested) Aaron Swartz who is accused of an offense or violation based on the fo	g before a United States magistrate	e judge without unnecessary delay
This offense is briefly described as follows:  18 U.S.C. 1343 (Wire Fraud); 18 U.S.C. 1030(a)(4) (Commuter) and 18 U.S.C. 1030 (a)(5)(B) (Recklessly Dan All committed between September 24, 2010 and January	npuler Fraud): 1811 S.C. 1020 (-)	lation Notice Order of the Court
Date: July 14, 2011  City and state: Boston, Massachusetts	Print	
	D.A.	OF MASO
This warment	Return	
at (city and state)	, and the person was arreste	d on (date)
Date:	8	
· · · · · · · · · · · · · · · · · · ·	Arresting of	ficer's signature
	Printed no	ume and title

To,



# United States District Court District of Massachusetts (Boston) CRIMINAL DOCKET FOR CASE #: 1:11-cr-10260-NMG-1

Case title: USA v. Swartz

Date Filed: 07/14/2011

Assigned to: Judge Nathaniel M.

Gorton

Referred to: Ch. Magistrate Judge

Judith G. Dein

#### Defendant (1)

Aaron Swartz

represented by Andrew Good

Good & Cormier 3rd Floor 83 Atlantic Ave. Boston, MA 02110 617-523-5933 Fax: 617-523-7554

Email: agood@goodcormier.com ATTORNEY TO BE NOTICED

Designation: Retained

#### Pending Counts

18:1343 & 2...WIRE FRAUD

(1)

18:1030(a)(4) & 2...COMPUTER FRAUD

(2)

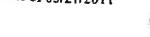
18:1030(a)(2), (c)(2)(B)(iii) & 2 ...UNLAWFULLY OBTAINING INFORMATION FROM A PROTECTED COMPUTER (3)

18:1030(a)(5)(B),(c)(4)(A)(i)(I)(VI) & 2 ...RECKLESSLY DAMAGING A PROTECTED COMPUTER (4)

### Highest Offense Level (Opening)

Felony

Disposition



#### Terminated Counts

None

#### Disposition

### Highest Offense Level (Terminated)

None

#### Complaints

None

Disposition

#### Plaintiff

USA

### represented by Scott Garland

United States Attorney's Office John J. Moakley U.S. Courthouse Suite 9200 Boston, MA 02210 617-748-3148 Fax: 617-748-3960 Email: scott.garland@usdoj.gov LEAD ATTORNEY ATTORNEY TO BE NOTICED

#### Stephen P. Heymann

United States Attorney's Office John Joseph Moakley Federal Courthouse 1 Courthouse Way Suite 9200 Boston, MA 02210 617-748-3100 Email: Stephen.Heymann@usdoj.gov ATTORNEY TO BE NOTICED

Date Filed	#	Docket Text
07/14/2011	1	MOTION to Seal Case as to Aaron Swartz by USA. (Smith3, Dianne)
07/14/2011		Magistrate Judge Timothy S. Hillman: ELECTRONIC ORDER entered granting 1 Motion to Seal Case as to Aaron Swartz (1) (Smith3, Dianne)
07/14/2011	2	SEALED INDICTMENT as to Aaron Swartz (1) count(s) 1, 2, 3, 4. (Attachments: # 1 JS45)(Smith3, Dianne) (Entered: 07/14/2011)
07/14/2011	3	Arrest Warrant Issued by Magistrate Judge Timothy S. Hillman as to Aaron Swartz. (Smith3, Dianne) (Entered: 07/15/2011)

585 I <b>V</b>					1 agc 3
07/15/201			ELECTRONIC NOTICE of Case Assignment as to Aaron Swartz; J Nathaniel M. Gorton assigned to case. If the trial Judge issues an Or Reference of any matter in this case to a Magistrate Judge, the matte transmitted to Chief Magistrate Judge Judith G. Dein. (Rynne, Michelentered: 07/15/2011)		s an Order of
07/19/201	1	4			, Thomas)
07/19/201	]		Ch. Magistrate Judge Judith G. Dein: ELECTRONIC ORDER enter 4 Motion to Unseal Case as to Aaron Swartz (1) (Quinn, Thomas) (07/19/2011)		entered grantin
07/19/2011				Agus S	
07/19/2011			Arrest of Aaron Swartz (Quin	n, Thomas) (Entered: 07/19/2011)	
07/19/2011		i i	Judge Judith G. Dein:Initial A. Arraignment as to Aaron Swartz (1) Counts all. USMJ Dein informs etained counsel; Govt. states nowith conditions. 1st conference Jeyman and Good. )Court Reputer of the programment of the pro	for proceedings held before Ch. A ppearance as to Aaron Swartz held tz (1) Count 1,2,3,4 held on 7/19/Count 1,2,3,4. by Aaron Swartz Nos the Dft. of his rights and charges naximum penalties; Dft. is release is set for 9/9/11 @ 10:00am(Attoporter Name and Contact or digital (Quinn, Thomas) (Entered: 07/1/1)	Magistrate d on 7/19/2011 /2011, Plea of Guilty on s; Dft. has ed on bond orneys present: I recording
07/19/2011		1	00,00.00, (Quinn, Thomas) (E.	ond Entered as to Aaron Swartz in ntered: 07/19/2011)	n amount of \$
07/19/2011	1 9	:   C	h. Magistrate Indoe Indial C	Dein: ORDER entered. ORDER Son Swartz. (Quinn, Thomas) (Enter	Setting ered:
07/19/2011	] 2	CI OI Cc (E	n. Magistrate Judge Judith G. I RDER as to Aaron Swartz Stat ourtroom 15 before Ch. Magist intered: 07/19/2011)	Dein: ORDER entered, SCHEDU tus Conference set for 9/9/2011 10 trate Judge Judith G. Dein. (Quinn	LING 0:00 AM in n, Thomas)
07/19/2011	8	unt	il 8/16/11. Reason for output	Dein: ORDER entered, ORDER O Laron Swartz. Time excluded from f order on excludable delay: 18 US n, Thomas) (Entered: 07/19/2011)	17/19/11
07/20/2011	2	100	RPORATE DISCLOSURE STohen) (Entered: 07/20/2011)	TATEMENT as to Aaron Swartz (	(Heymann,
77/20/2011	10	NO	The state of the s	ADINO	2
7/21/2011	11	Asse Doc	ented to MOTION Unsual Way	trants and Applications, MOTION	

07/21/2011

12

Motion for Victim Rights in case as to Aaron Swartz (Responses due by 8/4/2011), MOTION For Alternative Victim Notification as to Aaron Swartz by USA. (Heymann, Stephen) (Entered: 07/21/2011)

	PACER	Service Cent	er
		action Receipt	
	08/01/	/2011 11:09:04	
PACER Login:	ux4041	Client Code:	<u> </u>
Description:	Docket Report	Search Criteria	1:11-cr-10260-NMG
Billable Pages:	3	Cost:	0.24

#### FORFEITURE ALLEGATIONS

(18 U.S.C. § 981(a)(1)(C), 28 U.S.C. § 2461(e), and 18 U.S.C. § 982(a)(2)(B))

38. Upon conviction of the offense alleged in Count One of the Indictment, the defendant.

#### AARON SWARTZ,

shall forfeit to the United States, pursuant to 18 U.S.C. § 981(a)(1)(C) and 28 U.S.C. § 2461(c), any property, real or personal, that constitutes, or is derived from, proceeds traceable to the commission of the offense.

39. Upon conviction of one or more of the offenses alleged in Counts Two through Four of the Indictment, the defendant,

#### AARON SWARTZ,

shall forfeit to the United States, pursuant to 18 U.S.C. § 982(a)(2)(B) any property constituting, or derived rom, proceeds obtained directly or indirectly as a result of the commission of the offenses.

- 40. If any of the property described in paragraphs 38 and 39 hereof as being forfeitable pursuant to 18 U.S.C. § 981(a)(1)(C), 28 U.S.C. § 2461(c), and 18 U.S.C. § 982(a)(2)(B) as a result of any act or omission of the defendant -
  - a. cannot be located upon the exercise of due diligence;
  - b. has been transferred to, sold to, or deposited with a third party;
  - c. has been placed beyond the jurisdiction of this Court;
  - d. has been substantially diminished in value; or

-

e. has been commingled with other property which cannot be divided without difficulty;



## Dbt f !2;22.ds 21371.ON H!!!Epdvn f ou3!!!!Gifnel18025022!!!Qbhf !26!pg26

it is the intention of the United States, pursuant to 21 U.S.C. § 853(p), as incorporated by 28 U.S.C. § 2461(c), to seek forfeiture of all other property of the defendant up to the value of the property described in paragraphs 38 and 39 above.

All pursuant to Title 18, United States Code, Sections 981(a)(1)(C) and 982(a)(2)(B), and Title 28, United States Code, Section 2461(c).

A TRUE BILL

Date: 7-14-11

DISTRICT OF MASSACHUSETTS

July 14, 2011

Returned into the District Court by the Grand Jurors and filed.



## Dbt f 12;22.ds 21371.ON H!!!Epdvn f oul3.2!!!!Grhe!18025022!!!Obhf !2!pg3

S 45" (5/97) - (Revised	U.S.D.C. MA J/196 (1)			
Criminal Case Co	over Sheet		U.S. District Court - D	istrict of Massachuser
Place of Offense:	Categor	ry No	_ Investigating Agency	Secret Sevice
City Cambrid	ige	Related Case Informs	•	
County Middle	esex	_		
County		Superseding Ind./ Inf. Same Defendant	Case No	
		Magistrate Judge Case	New Defendan	
		Search Warrant Case N R 20/R 40 from Distric	umber See Additional Information	Islaw
Defendant Inform	ation:	TO THE POST OF THE PERSON OF T		****************
Defendant Name	Aaron Swartz		<u>#_</u>	
Selvinghit Inditie				es 🕢 No
lias Name	is this person an attorney	and/or a member of any	y state/federal bar:	es 🗸 No
,	(City & State) Cambrid	dae MA	·	
			144	
irin date (Yronly): _	86 SSN (last4#): 1374		e: W Nationality:	USA
efense Counsel if	known: Andrew	Good	Address Good & Court	nier
ar Number			83 Atlantic Av	<del>e</del> .
.S. Attorney Info	rmation:		Boston, MA	2110
USA Stephe	n Heymann	77 37	Jumber if annlicable 55848	12.0
iterpreter:			ionnest to abbuenoic	
	☐ Yes ☑ No	List language and	-	
ictima:	Yes No If yes, are	there multiple crime victin	ns under 18 USC§3771(d)(2)	✓ Yes No
latter to be SEAL	ED: Yes [	☐ No		_
Warram	Requested	Regular Process	□ t- 0t	
eation Status:	•	Togota Frocess	In Custody	
rest Date				
Already in Federa	d Custody as of		in	**************************************
	Custody at	Serv	ing Sentence Await	ing Trial
On Pretrial Releas	se: Ordered by:		on	
arging Document	t: Complaint	Information	on Indictme	nt
tal # of Counts:	Petry —	— Misdemea	nor Felony	4
	Continue	on Page 2 for Entry of L	J.S.C. Citations	
I hereby cert			lugs before a Magintrate Judg	e are
			2750	
e: July 14, 2011	23	ure of AUSA:	. X	

## Dbt f !2;22.ds 21371.ONH!!!Epdvn f ou3.2!!!!Grhe!18@5@2!!!Qbhf !3\pg3

25 45 (5/97) (Ravised U.S.D.C. MA 12/7/05) Page 2 of 2 or Reverse

Distric	et Court Case Number (To be	e filled in by deputy clerk):	
	of Defendant Aaron Sy		
Set 1	Index Kev/Code 18 USC 1343	U.S.C. Citations  Description of Offense Charged  Wire Fraud	Count Numbers
Set 2	18 USC 1030(a)(4)	Computer Fraud	2
Set 3	18 USC 1030(a)(2)	Theft of information From a Computer	3
Set 4	18 USC 1030(a)(5)(B)	Recklessly Damaging a Computer	4
Set 5	18 USC 981 & 962 and 28 USC 2481	Forfeiture	
Set 6	18 USC 2	Aiding and Abetting	Counts 1-4
Set 7			
Set 8	-		
Set 9			
Set 10			
Set 11 ,			
Set 12			
Set 13 .			
iet 14 _			
et 15 _			
	ONAL INFORMATION: 015-JGD; 11m-5031-J	Search Warrant Case Numbers: 11m-5013 IGD; 11m-5061-JGD; 11m-5062-JGD; 11	IGD;11m-5014-JGD
1m-(	5143-JGD	, 11 000E-00D, 11	m-3003-3GD, and
eizu	re Warrant Case Nur	mber: 11m-5138-JGD	

AO 442 (Rev. 01/09) Arrest Warrant

## UNITED STATES DISTRICT COURT

for the

District of Massachusetts

United States of America  v.	
Aaron Swartz )	Case No. 1/ PC 10 260
Defendant )	Case No. 11-07-10260
ARREST W.	ARRANT
To: Any authorized law enforcement officer	
YOU ARE COMMANDED to arrest and bring before a temperature of person to be arrested). Asron Swartz	Inited States manietents industrial
(name of person to be arrested) Auron Swartz	magainate Judge without unnecessary delay
who is accused of an offense or violation based on the following de	ocument filed with the court:
Indictment Superseding Indictment Informati	
The back and the same of the s	Complaint Li Complaint
- A LANGUAGE A TOTAL	ion Petition D Violation Notice D Order of the Court
This offense is briefly described as follows:	
18 U.S.C. 1343 (Wire Fraud); 18 U.S.C. 1030(a)(4) (Computer Fraud); 18 U.S.C. 1030(a)(4) (Computer Fraud); 18 U.S.C. 1030 (a)(5)(B) (Recklessly Damaging a Call Committed between September 24, 2010 and January 6, 2011, c	id); 18 U.S.C. 1030 (a)(2) (Theft of information From a computer); 18 U.S.C. 2 (Aiding and Abatting) or thereabout
Date: July 14, 2011  City and state: Boston, Massachusetts	DISTRICT
City Bild State; Coaton, madsacritusens	Production of the second of th
	O-Mas-
Return	
This warrant was received on (date), and (city and state)	d the person was arrested on '(date)
Date:	
	Arresting officer's signature
	Printed name and title
	Translation to product the second to the sec

RIF

(b)(6) (b)(7)(C)	(BOS)	
From: Sent: To: Cc: Subject:	(b)(6) (b)(7)(C) Friday, February 25, Heymann, Stephen (b)(6) (b)(7)(C) Search Warrant Ret	(USAMA) (Stephen Heymann@usdoj.gov)
Please review the followthis onto each warrant.  Case No: 11M-5062-JE		V returns. If it is agreeable to you both I was intending on handwriting
Date and Time Warrant	Executed: February 25,	. 2011 9:00am EST
Copy of Warrant and Inv	entory Left With: Proper	erty Technician ((b)(6),(b)(7)(C)
Inventory Made In The P	resence of: <b>Property Te</b>	and Deputy Superintendent ((b)(6) (b)(7)(C)
Inventory of the Property	/ Taken:	

HP USB Drive, marked 00455MKBT1 85102

2.0 Terabyte Western Digital HD Serial Number WMAZA1626675

Acer Aspire One Laptop Computer Serial Number LUSAX0D001001100E1601

Of course the individual item will be on its specific warrant with the info about execution.

(b) (6).(b

(b)(6) (b)(7)(C)	
1	
(b)(6) (b) said that she also snoke with	Sugar-
TOTAL MALE GELLIN LANGE HALL	Swartz after the search of his residence. (0)(6) (b) said that bis house and took part of his book. (7)(c) said that Swartz
for. (b)(6) (b) said that Swartz told her	that the agents took his phones.
(b)(6) (b)(7)(C)	
b)(6) (b)(7)(C)	
	j
(a) (b) (c) (b) said that Swarts at the d	
said that Swartz started a Goo	gle Group called Guerilla Open Acress

UNITED STATES GOVERNMENT

## Memorandum of interview

GATE AND May 12, 2011 4:00pm TIME U.S. Secret Service (b)(6) (b)(7)(C) LOCATION Case # 102-775-60071-S (b)(6) (b)(7)(C) Scele( t INTERVIEWED SA (b)(6) (b)(7)(C) BOS) ATTENDANCE Detective Cambridge Police On May 12, 2011, (6)(6)(6)(7)(C) was interviewed at the offices of the (b)(6)(b)(7)(C) Boston Massachusetts. This interview was in reference to the Boston Field Office case number 102-775-60071-S. The interview was conducted by Special Agent (6)(6)(6)(7)(C) and me, Cambridge Police Detective (b)(6) (b)(7)(C) The following is a synopsis of his statements: stated that he has been a friend of Aaron Swartz for several years. (b)(6) (b)(7)(C) They communicate in person, by telephone and via electronic mail. (b)(6) uses the email address (b)(6)(b)(7) agmail.com and Swartz uses the email address me@aaronsw.com for these communications. These email communications using the above addresses date back 4 to 5 years. last saw Swartz in passing the previous weekend either on Saturday or Sunday. Swartz was on his way to breakfast. (b)(6) (b)(7)(C) stated that after he read the Guerilla Manifesto he became interested in the idea of it and (b)(6)(b)(7)(C) (b)(6)(b)(7)(C) stated that he believed Aaron Swartz wrote the manifesto but could not remember if he ever had a conversation with Swartz confirming that Swartz wrote the manifesto. (b) stated that, "They believe that the open access movement is a human rights issue." (b) stated that (b)(6) (b)(7)(C) is probably the closest person professionally and personally to Swartz and at times acts as his mentor. (b)(6) has worked on projects in the past with (b)(6) (b)(7)(C)

The interview was completed at approximately 5:00pm.

Respectfully Submitted,

(b)(6) (b)(7)(C)

(b)(6)(b)(7)(C)

TOTAL MEDIA PROCESSED:

9 30 TB

#### SOFTWARE TOOLS

Smartcard Commander - Embedded Device Forensics

CelleBrite - Cell Phone Forensics

FTK - Forensic Tool Kit Encase - Encase

COMMENTS: NO SOFTWARE COMMENTS PROVIDED

#### HARDWARE TOOLS

UFED (CellBrite) - Cell Phone Forensics SIM Card Reader - Embedded Device Forensics Ultra Toolkit - All Writeblock Devices in the Ultra Toolkit

COMMENTS: NO HARDWARE COMMENTS PROVIDED

HD COMMENTS:

#### CASE COMPUTERS

COMPUTER DETAIL:		8
MODEL: Ager Aspire	ACQUIRE	(b)(6) (b)(7)(C)
SERIAL NO: LUSAX0000100	11001E1601 ACQUIRE	D DT: 02/25/2011
START DATE: 02/25/2011 PROCESSED BY: SA (b)(6) (b)(7)(C)	END	DATE: 05/20/2011
HARD DRIVE(S) DETAILS:		
MODEL Hitachi HTS545016B9A3	SERIAL NUMBER 091209PB5B06QcE	<b>SIZE</b> 160 00 G
HD COMMENTS:		
COMPUTER DETAIL:		
MODEL: Apple iMac A1311	ACQUIRED	(b)(6) (b)(7)(C)
SERIAL NO: W8025AXGD87	ACQUIRE	DT: 02/11/2011
START DATE: 02/11/2011	END D	ATE: 05/20/2011
PROCESSED BY: SA		
HARD DRIVE(S) DETAILS:	<del></del>	
MODEL Imaged by TDM	SERIAL NUMBER Imaged by TDM	<b>SIZE</b> 1.00 T

#### EMBEDDED TECHNOLOGY

(p)(p)(p)(c)	
	Office: BOSTON FIELD OFFICE Agent Phone

(b)(6) (b)(7)(C)

CASE NUMBER: 102-866-00800

**TOTAL MEDIA PROCESSE** 

#### EMBEDDED TECHNOLOGY

MODEL: Nokia 2320

ACQUIRED BY: SA

9 30 TB

SERIAL NO: 012185001723274

ACQUIRED DT: 02/14/2011

START DATE: 02/14/2011

END DATE: 05/20/2011

PROCESSED BY: SA ((b)(6) (b)(7)(C)

STORAGE DETAILS:

SERIAL NUMBER

SIZE

DESCRIPTION Internet Memory

Internal Memory

32.00 M

**HD COMMENTS:** 

#### EMBEDDED TECHNOLOGY

MODEL: HTC G2

ACQUIRED BY: SA

b)(6) (b)(7)(C)

SERIAL NO: 359116031962625

**ACQUIRED DT: 02/16/2011** 

START DATE: 02/16/2011

END DATE: 05/20/2011

PROCESSED BY: SA ((b)(6) (b)(7)(C)

STORAGE DETAILS:

DESCRIPTION

SERIAL NUMBER

SIZE

Internal Memory

Internal Memory

4.00 G

HD COMMENTS:

LOOSE DRIVES

(b)(6) (b)(7)(C)

Office: BOSTON FIELD OFFICE Agent Phone.

(b)(6) (b)(7)(C)

Approved by CIO on 07/27/2011

恒,

CASE NUMBER: 102-868-006007

TOTAL MEDIA PROCESSED:

9.30 TB

MODEL:WD20EARS

SERIAL NUMBER:WD-WMAZA1626675

SIZE:2.00 T

ACQUIRED BY:SA (b)(6) (b)(7)(C)

PROCESSED BY:S.

ACQUIRED DATE:

02/28/2011

EXAM START:02/28/2011

EXAM END: 05/20/2011

**COMMENTS:**None specified

MODEL:Western Digital WD1200JS

SERIAL NUMBER:WD-WMANN1006724

SIZE:120.00 G

ACQUIRED BY: SA (b)(6) (b)(7)(C)

ACQUIRED DATE:

02/11/2011

PROCESSED BY: SA

EXAM START:02/11/2011

EXAM END: 05/20/2011

COMMENTS: None specified

MODEL:Samsung HD154UI

SERIAL NUMBER:S1Y6J1CZ800326

5/2E:1.50 T

ACQUIRED BY:S PROCESSED BY:S

ACQUIRED DATE:

06/25/2011

**EXAM START:06/27/2011** 

**COMMENTS:**None specified

EXAM END: 07/07/2011

MODEL:Samsung HD154UI

SERIAL NUMBER: \$1Y6J1CZ800329

SIZE: 1.50 T

(b)(6),(b)(7)(C) ACQUIRED BY:SA PROCESSED BY: SA

ACQUIRED DATE:

06/27/2011

EXAM START:06/27/2011

EXAM END: 07/07/2011

COMMENTS: None specified

(b)(6) (b)(7)(C)

Office: BOSTON FIELD OFFICE Agent Phone (b)(6) (b)(7)(C)

Approved by CID on 07/27/2011

1

CASE NUMBER: 102-866-006007

TOTAL MEDIA PROCESSED:

9 30 TB

MODEL: Samsung HD154UI

SERIAL NUMBER:S1Y6J1CZ800331

SIZE: 1.50 T

ACQUIRED BY:SA (6)(6) (b)(7)(C)

ACQUIRED DATE:

06/23/2011

PROCESSED BY:SA

EXAM START:06/23/2011

EXAM END: 07/07/2011

**COMMENTS:**None specified

MODEL:Samsung HD154UI

SERIAL NUMBER:S1Y6J1CZ800332

91ZE:1.50 T

ACQUIRED BY:SA (b)(6) (b)(7)(C)

ACQUIRED DATE:

06/22/2011

PROCESSED BY: SA

**EXAM START:06/22/2011** 

EXAM END: 07/07/2011

COMMENTS; None specified

CASE MEDIA

CATEGORY

Sim Card (Cell Phones) - Cell Phones

Secure Digital Card USB Thumb Drives

QTY NUM SIZE 64.0 KB 4 8.0 GB

8.0 GB

CASE CREDIT

HARD DRIVE CREDIT:

8

MEDIA CREDIT:

3

E-TECH CREDIT:

2

TOTAL CREDIT:

13

**EXAMRESULTS** 

EVIDENCE FOUND: YES

AUTHORITY: Warrant

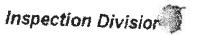
COMMENTS: NO COMMENTS PROVIDED

(b)(6) (b)(7)(C)

Office. BOSTON FIELD OFFICE Agent Phone

(b)(6) (b)(7)(C)

Approved by CID on 07/27/2011



## Criminal Investigations Case Review Worksheet - Section 8

J-Case:OF	PEN: V	_CLOSED:		/Annuality		
File Number 102 - 77	5-600	71-5		(D)(O) (D)(A)(C)	Yes N	] [6)
Case Title Aaron S	Wartz			gent		_
Date Assigned: 1/H///	1 st Rep	on Due 4/4/1/	Secondary La	se Type YES	NO	
(Tickler Date)		(Date Submitted)	Amtor	Loss S	-	
1st Report 4/4/1/		1/01/11		$\overline{\cdot}$		
2nd Report 4/2///	<del></del>	2/28/11	Qn Irm	Days Late		
3rd Report 6/29///		lala alu	9n.Tim	Days Late		
4th Report 9/27/1/		8/1/1/1/10/20	2) On Time	Days Late _	STATEMENT AND ADDRESS OF THE PARTY AND ADDRESS	
5th Report 11/29/11		11/20/11	On Time	Oays Late _	4 del	
6th Report 2/27/10		1100111	On Time	Days Late	Mary of the State	
'th Report 5 28 12		2197117	On Time	Days Late	·	
		***************************************	On Time	Days Late _	e sometrus	
8th Report			On Time	Days Late	<del></del>	
'Report			On Time	Days Late:	Magazana ana s	
Report			On Time	- way a most	CONT. THE RES	
To	xlal M/Rs	Total M/Rs Late _	Tota	al Days Late		
All Leads Explored: YES	NO	Reports: Accurate Clear	Delailed Com-			
Date of Arrest 1,17,11	Date of Official	Message X././/	On Time	Davs Late	E L	
Date of Arrest	Date of Official I	Message.	On Time	Days Late	F	S
Date of salest	late of Official I	Message'	On Time	Davs Late	E	_
Date of Arrest	late of Official N	Message.	On Time	Days Late	F	
Date of ArrestD	ate of Official N	Nessage:	On Time	Days Late		
Total Arrest Messag	jes	Total Arrest Messages La	ite:	Total Dave Late		" O ""
Date of Plant Suppression	Date of Off	icial Message	On Time			-
Date of Plant Suppression	Date of Off	icial Message	On Time	Days Late		
Date of Plant Suppression,				Days Late		
Total Plant Sup Messages				Days Late:	~	
-		the water And resta	- Lati	ar Days Late:	_	

# Criminal yestigations Case Review Worksheet - Section 8

Date of Intercept:Date of Official Message	
of Intercept: Date of Official Message:	On Time Days Late T
Date of Intercept Date of Official Message	On Time Days Late T
Date of Intercept	On Time Days Late T
Date of Intercept Date of Official Message  Fotal Intercept Messages Total Intercept Messages	On Time Days Late T
Total Intercept Messages: Total Intercept Messages Late	Total Days Late
Prosecution Federal State Juvenile NA	•
lanket Letter Yes No In Report (Re	NoN/A(Required for State Prosecution) lequired for State Prosecution)
ate of AUSA Currency Retention LetterLetter in	
USPECTS / DEFENDANTS	rie. Yes No N/A
dants/Suspects listed in subsequent reports: YES No. (In)(6) (b)(7)(c)	arches FSD Exam  Consent to Search; Verbal Written
IDENCE / PERSONAL PROPERTY J-CASE REI	QUIREMENTS
TISM V COR DAY	Case Porcet
E OF: CIConfidential FundUCSurveillance_ ent and Quality of Reports	Polyoranh con.n